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WO 01/30326 A1

(54) Title: TREATMENT OF EMPHYSEMA USING RAR SELECTIVE RETINOID AGONISTS

(57) Abstract: This invention provides the use of RARγ selective agonists for the manufacture of a medicament containing one or more such agonists for the treatment of emphysema and other diseases associated with alveolar damage. In another aspect, this invention provides the use of RAR agonists, preferably a RARγ selective agonist for the manufacture of medicaments for promoting tropoelastin gene expression and alveolar matrix repair.

# Treatment of Emphysema using RAR Selective Retinoid Antagonists

This invention relates to the use of retinoic acid receptor (RAR) agonists, in particular a retinoic acid receptor agonist that is RARγ selective.

Chronic obstructive pulmonary disease (COPD) is a major cause of morbidity and mortality, ranking third and fourth as the leading cause of death in the European Union and North America respectively. COPD is characterized by reduced maximum expiratory flow that does not change over several months and persists for 2 or more consecutive years. Patients with the most severe form of COPD generally present with a significant degree of emphysema.

Emphysema is defined anatomically by permanent airspace enlargement distal to the terminal bronchioles, and it is characterized by gradual loss of lung recoil, alveolar destruction, decreased alveolar surface area and gas exchange, leading to a reduced FEV1 (American Thoracic Society: Am. J. Resp. and Critical Care 152: S77 - S124, 1995). Impaired gas exchange and reduction in expiratory flow are characteristic physiological abnormalities from which emphysema patients suffer. The main symptom of severely affected emphysema patients is shortness of breath during minimal physical activity.

Although other potential environmental toxins may contribute, the most common cause of emphysema is cigarette smoking. These injurious agents activate destructive processes in the lung, including release of active proteases and free radical oxidants in excess of protective mechanisms. The uncontrolled release of active proteases creates an imbalance in protease/anti-protease levels in the lungs that leads to elastin matrix destruction, elastic recoil loss, tissue damage, and continuous lung function decline. The rate of this damage may be slowed by

removing the injurious agents (for example, by quitting smoking); however, the damaged alveolar structures are not repaired and lung function is not regained.

All-trans retinoic acid (ATRA) is a multifunctional modulator of cellular behavior, having the potential to alter both extracellular matrix metabolism and normal epithelial differentiation. In the lungs, ATRA has been shown to modulate various aspects of lung differentiation by interacting with specific retinoic acid receptors (RAR) that are selectively expressed temporally and spatially. Coordinated activation of RARβ and RARγ has been associated with lung branching, alveolization/septation and gene activation of tropoelastin in neonatal rats.

During alveolar septation, retinonic acid storage granules (retinyl-esters) increase in the fibroblastic mesenchyme surrounding alveolar walls (Liu *et al*; Am. J. Physiol. **265**: L430 – L437, 1993; McGowan *et al* Am. J. Physiol. **269**: L463 – L472, 1995), and RARγ expression in the lung peaks (Ong, D. E. and Chytil, F., Proc. Natl. Acad. of Sciences 73: 3976 – 3978, 1976; Grummer, M. A., Thet, L. and Zachman, R. D., Pediatr. Pulm. 17: 234 – 238, 1994). Depletion of these retinyl-ester stores parallels the deposition of new elastin matrix and sepation. In support of this concept, Massaro and Massaro (Massaro, D. and Massaro, G., Am. J. Physiol. **270**, L305 – L310, 1996) demonstrated that postnatal administration of retinoic acid increases the number of alveoli in rats. Treatment of newborn rat pups with dexamethasone inhibits the process of septation in the lungs. This effect can be overcome by supplemental treatment with retinoic acid. Furthermore, the capacity of dexamethasone to prevent the expression of CRBP and RARβ mRNA and subsequent alveolar septation in developing rat lung was abrogated by ATRA.

Recent studies demonstrated that ATRA can induce formation of new alveoli and return elastic recoil to near normal in animal models of emphysema (Massaro, D. and Massaro, G., Nature Med. 3: 675 - 677, 1997; "Strategies to Augment Alveolization," National Heart, Lung, and Blood Institute, RFA: HL-98-011, 1998.). However the mechanism by which this occurs remains unclear.

Retinoids are a class of compounds structurally related to vitamin A, comprising natural and synthetic compounds. Several series of retinoids have been found clinically useful in the treatment of dermatological and oncological diseases . All-trans retinoic acid (ATRA) and its other naturally occurring retinoid analogs (9-cis retinioc acid, all-trans 3-4 didehydro retinioc acid, 4-oxo retinoic acid and retinol) are pleiotrophic regulatory compounds that modulate the structure and function of a wide variety of inflammatory, immune and structural cells. They are important regulators of epithelial cell proliferation, differentiation and morphogenesis in lung. Retinoids exert their biological effects through a series of nuclear receptors that are ligand inducible transcription factors belonging to the steroid/thyroid receptor superfamily.

The retinoid receptors are classified into two families, the retinoic acid receptors (RARs) and the retinoid X receptors (RXRs), each consisting of three distinct subtypes ($\alpha$, $\beta$, and $\gamma$). Each subtype of the RAR gene family encodes a variable number of isoforms arising from differential splicing of two primary RNA transcripts. ATRA is the physiological hormone for the retinoic acid receptors and binds with approximately equal affinity to all the three RAR subtypes. ATRA does not bind to the RXR receptors; instead, for these receptors, 9-*cis* retinoic acid is the natural ligand.

In many non-pulmonary tissues, retinoids have anti-inflammatory effects, alter the progression of epithelial cell differentiation, and inhibit stromal cell matrix production. These properties have led to the development of topical retinoid therapeutics for dermatological disorders such as psoriasis, acne, and hypertrophic cutaneous scars. Other applications include the control of acute promyelocytic leukemia, adeno- and squamous cell carcinoma, and hepatic fibrosis. However, therapeutic use of retinoids outside of cancer is limited due to the relative toxicities observed with the naturally occurring retinoids, ATRA and 9-cis RA. These natural ligands are non-selective and, therefore, have pleiotrophic effects throughout the body, which are often toxic. Recently various retinoids have been

described that interact selectively or specifically with the RAR or RXR receptors or with specific subtypes (α, β, γ) within a class. Using these novel retinoids, the transrepression of AP-1 and transactivation activities of retinoids have been separated. (Li, J. J. *et al,* Cancer Research, **56**: 483 – 489 (1996); Fanjul, A. *et al.*, Nature, **372**: 107 – 111 (1994); Schule R. *et al.*, PNAS, **88**: 6092-6096 (1991); Nagpal *et al.*, Journal of Biological Chemistry **270**: 923-927 (1995)). In addition, the receptor selective compounds have shown reduced general toxicity in vitro and in vivo. (Chandraratna, R., J. Am. Acad. Dermatology, **39**: S149 – S152, 1998; Look, J. *et al.*, Am. J. Physiol. **269**: E91 – E98, 1995).

In one aspect, this invention provides the use of RAR γ selective agonists for the treatment of emphysema and associated pulmonary diseases. Use of retiniods that are at least RARγ selective and RARα sparing will promote repair without inducing adverse effects on levels of plasma triglycerides.

In another aspect, this invention provides the use of RARγ selective agonists for stimulating tropo-elastin gene expression in a human lung fibroblast.

As used herein, the term ($C_X$-$C_Y$) alkyl means a linear or branched fully-saturated hydrocarbon radical having from x to y carbon atoms; a ($C_X$-$C_Y$) fluoroalkyl is an alkyl radical, as defined above, in which one or more hydrogen atoms attached to the carbon backbone have been substituted with one or more fluorine atoms.

As used herein, the term ($C_X$-$C_Y$) cycloalkyl means a fully saturated cyclic hydrocarbon radical of x to y ring carbon atoms and includes bicyclic, polycyclic and bridged ring systems, e.g., cyclopropyl, cyclopentyl, decalinyl, adamantyl and the like; the term cyclofluoro-($C_X$-$C_Y$)-alkyl is a cycloalkyl radical, as defined above, in which one or more hydrogen atoms attached to the carbon backbone have been substituted with one or more fluorine atoms.

As used herein, the term "E" denotes a stereochemical configuration about a carbon-carbon double bond such that the two hydrogen atoms are attached to different carbon atoms and are on opposite sides of the carbon-carbon double bond. The term "Z" denotes a stereochemical configuration about a carbon-carbon double bond such that the two hydrogen atoms are attached to different carbon atoms and are on the same side of the carbon-carbon double bond. (Pure Appl. Chem., 45, 13 – 30 (1976)).

As used herein, the term ED denotes effective dose and is used in connection with animal models. The term EC denotes effective concentration and is used in connection with in vitro models.

As used herein, the term "$ED_{50}$" of a retinoid for a retinoic acid receptor means the molar concentration of the retinoid in an animal model which transactivates the particular retinoic acid receptor under consideration by 50% of the maximum transactivation which can be obtained with that retinoid. As used herein, the term "$EC_{50}$" of a retinoid for a retinoic acid receptor means the molar concentration of the retinoid in an in vitro model which transactivates the particular retinoic acid receptor under consideration by 50% of the maximum transactivation which can be obtained with that retinoid.

As used herein, the term "retinoid" is any compound that is capable of transactivating any or all of the α, β, or γ RAR or RXR receptors with an $ED_{50}$ of 1000nm or less.

As used herein, the term "transactivation" refers to the ability of a retinoid to activate the transcription of a gene where the gene transcription is initiated by the binding of a ligand to the particular retinoic acid receptor being tested, i.e., RARα, RARβ, or RARγ. Determining the ability of a compound to transactivate a retinoic acid receptor may be performed by methods known to those of skill in the art. Examples of such methods are found in Bernard *et al.*, Biochem.

Biophys. Res. Commun., **186**: 977-983 (1992) and C. Apfel *et al.*, Proc. Nat. Sci. Acad. (USA), **89**: 7129-7133 (1992).

As used herein, the term "RARγ selective agonist" refers to a compound that is able to selectively bind to the RARγ receptor and promote RARγ activation. RARγ selective agonists will bind to the RARγ receptor at significantly lower concentrations (>10 fold selectivity, preferable 50 to 100 fold selectivity) than the RARα and RARβ receptors. The preferred activity profile will spare the activation of RARα receptors, leading to more selective biological responses.

As used herein, the term "RARγ/β selective agonist" is one that selectively binds to RARγ and RARβ receptors, promoting both RARγ and RARβ activation and sparing the activation of RARα receptors.

As used herein, the term "RAR agonist that is at least gamma selective and is RAR α sparing" is one that is RARγ selective or RARγ/β selective.

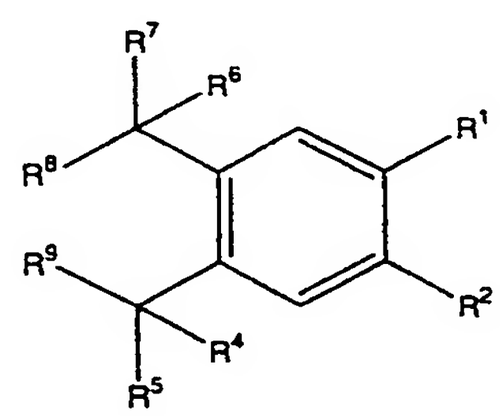
As used herein, the term "RAR pan agonist" is one that binds to RARα, RARβ, and RARγ receptors with similar affinity, promoting RARα , RARβ, and RARγ activation.

"Pro-drug" means any compound which releases an active parent drug *in vivo* when such prodrug is administered to a mammalian subject. Prodrugs are prepared by modifying functional groups present in the active drug in such a way that the modifications may be cleaved *in vivo* to release the parent compound. Prodrugs include compounds wherein a hydroxy group in the active drug is bonded to any group that may be cleaved i*n vivo* to regenerate the free hydroxyl group. Examples of prodrugs include, but are not limited to esters (e.g., acetate, formate, and benzoate derivatives), carbamates (e.g., N,N-dimethylaminocarbonyl) and ethers of hydroxy functional groups in active drugs and the like. Such compounds are routinely made by one of skill in the art by acylating or etherifying the hydroxy group in the parent drug.

The present inventors have discovered that RARγ selective agonists transactivate tropoelastin gene expression in human lung fibroblasts. Similarly, RARγ selective compounds were shown to promote the repair and/or regeneration of rat lung alveoli. Whereas RARγ selective retinoid agonists induced tropoelastin expression in human lung fibroblasts, neither RARβ nor RARα selective agonists did so. Consequently, one aspect of this invention is to promote the production of elastin containing extracellular matrix in a mammal by administering an agonist that is at least RARγ selective.

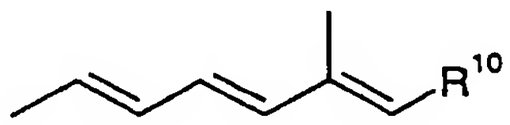
However, it will be recognized by one of skill in the art that the present invention encompasses the use of all RARγ selective agonists and is not limited to those RARγ selective agonists described in the above references or those presently known to the art. Generally, all compounds having at least RARγ selective agonist activity are useful for the uses and methods of this invention.

One family of RARγ selective agonists useful in the methods described herein is described in U.S. Patent No. 5,700,836, issued December 23, 1997 and is represented by Formula I.




I

where $R^1$ is a residue of the formula

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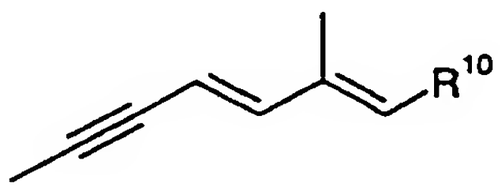


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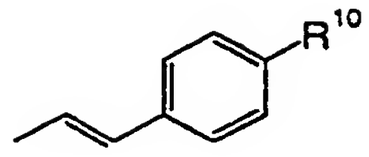

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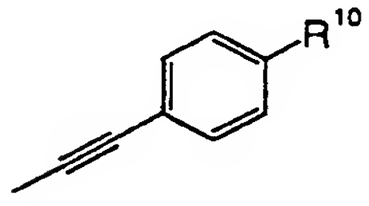


$R^2$ is $C_2$-$C_8$ alkanoyl, $C_2$-$C_8$ alkyl, $C_2$-$C_8$ alkenyl, $C_2$-$C_8$ alkynyl or -$OCH_2R^3$;

$R^3$ is hydrogen, $C_1$-$C_6$ alkyl, $C_2$-$C_6$ alkenyl or $C_2$-$C_6$ alkynyl;

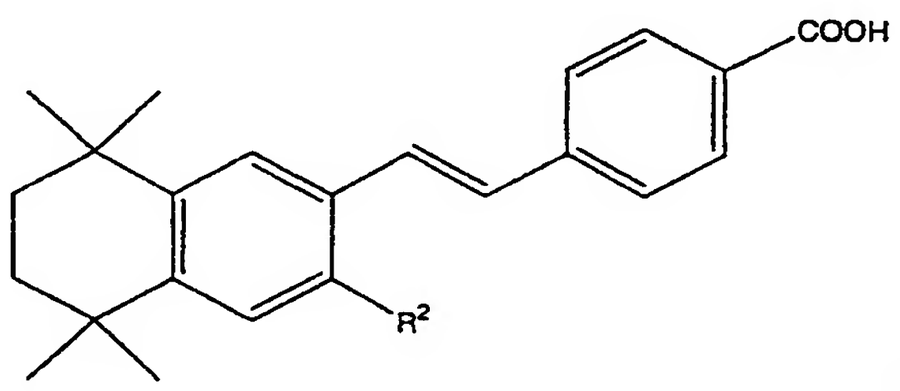
$R^4$ to $R^9$ are each independently hydrogen or $C_1$-$C_6$ alkyl;

or $R^8$ and $R^9$ together are $(CR^aR^b)_n$, $R^a$ and $R^b$ are independently hydrogen or $C_1$-$C_6$ alkyl, n is 1, 2 or 3 and $R^4$ to $R^7$ are the same as above;

$R^{10}$ is carboxyl, $C_{1-6}$ alkoxycarbonyl or mono- or di-($C_{1-6}$alkyl)carbamoyl;

and their pharmaceutically acceptable salts.

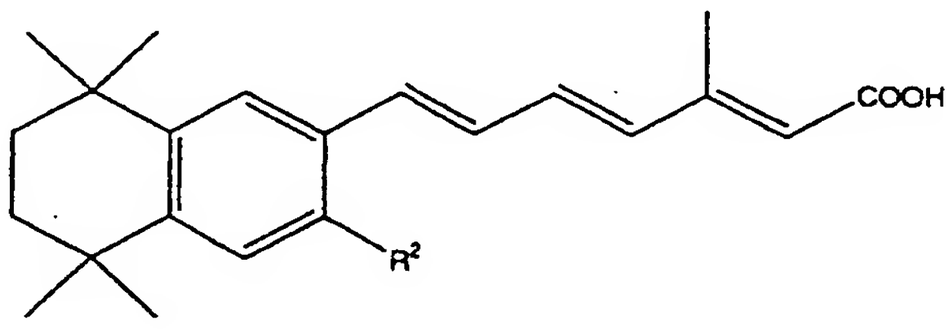
Particularly useful compounds within this family are compounds of Formula Ia or Ib:




Ia

wherein $R^2$ is $C_2$-$C_8$ alkyl,-$OCH_2R^3$ or $C_2$-$C_8$ alkanoyl.

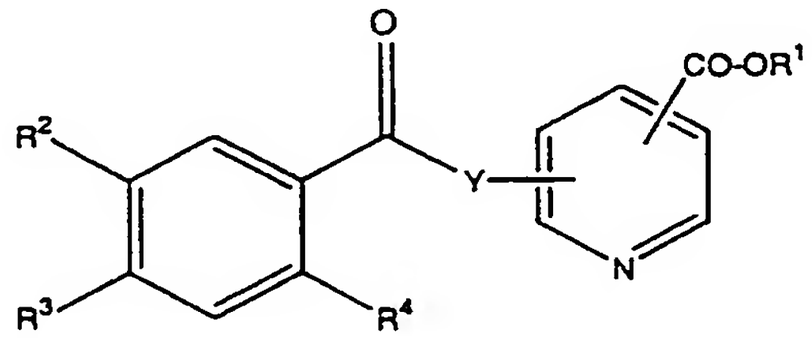
More specifically, $R^2$ is n-pentyl, n-hexyl, n-propoxy, n-pentoxy or n-hexanoyl.




Ib

where $R^2$ is hexyl or n-pentoxy.

Another family of RARγ selective agonists useful in the methods described herein is described in U.S. Patent No. 5,726,191, issued March 10, 1998 and is represented by Formula II.




II

wherein

$R^1$ is hydrogen or $C_1$-$C_6$ alkyl;

$R^2$ is $C_1$-$C_6$ alkyl or adamantyl;

$R^3$ is $C_1$-$C_6$ alkyl or hydroxy; or

$R^2$ and $R^3$ taken together are $-(CR^6R^7)_n-$ (where $R^6$ and $R^7$ are hydrogen or $C_1$-$C_6$ alkyl and n is 3, 4 or 5);

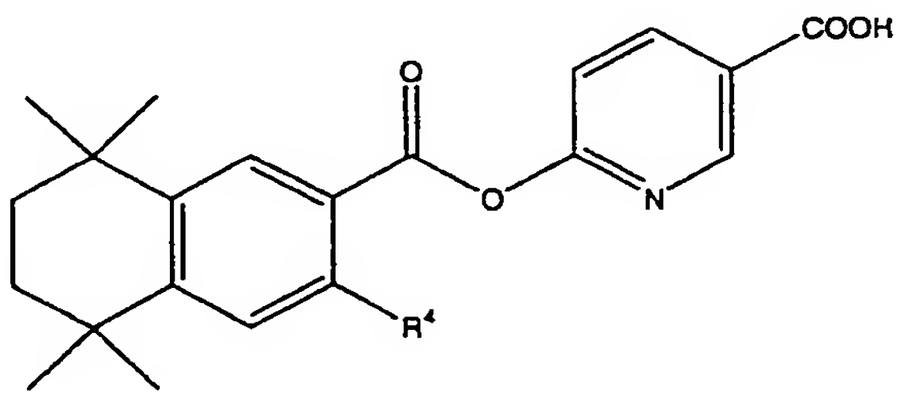
$R^4$ is $C_2$-$C_8$ alkanoyl, $C_2$-$C_8$ alkyl, $C_2$-$C_8$ alkenyl, $C_2$-$C_8$ alkynyl or $-OCH_2R^5$;

$R^5$ is $C_1$-$C_6$ alkyl, $C_2$-$C_6$ alkenyl or $C_2$-$C_6$ alkynyl;

Y is oxygen or sulfur;

and their pharmaceutically acceptable salts.

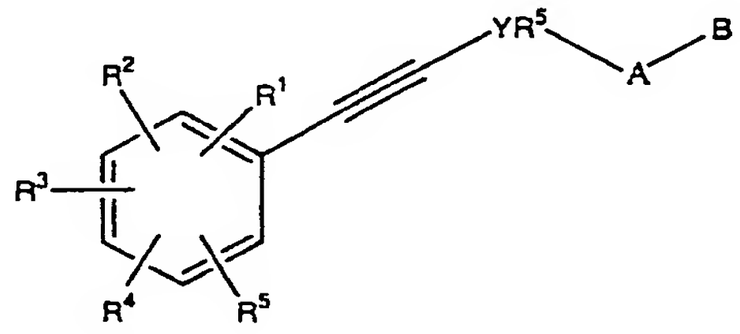
Particularly useful compounds within this family are compounds of Formula IIa.




IIa

particularly those where $R^4$ is n-pentyl or n-hexyl.

A third family of RARγ selective agonists useful in the methods of this invention is that described in U.S. Patent No. 5,498,795 issued March 12, 1996 and is represented by Formula III.



III

wherein $R^1$-$R^3$ and $R^5$ are independently hydrogen, lower alkyl of 1 to 6 carbons, branched chain alkyl or cycloalkyl of 3 to 15 carbons, lower alkyl substituted cycloalkyl of 3 to 15 carbons;

$R^4$ is lower alkyl of 1 to 6 carbons, branched chain alkyl or cycloalkyl of 3 to 15 carbons, or lower alkyl substituted cycloalkyl of 3 to 15 carbons;

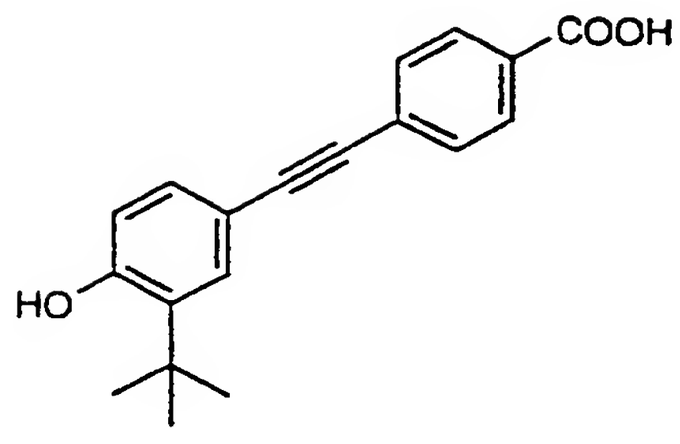
X is S or O;

Y is a phenyl group, or heteroaryl selected from a group consisting of pyridyl, thienyl, furyl, pyridazinyl, pirimidinyl, pyrazinyl, thiazolyl, imidazolyl, and oxazolyl, said groups being substituted with the R5 group defined above;

A is $(CH_2)_n$ where n is 0 to 5, lower branched chain alkyl having 3 to 6 carbons, cycloalkyl having 3 to 6 carbons, alkenyl having 2 to 6 carbons and 1 or 2 double bonds, alkynyl having 2 to 6 carbons and 1 or 2 triple bonds;

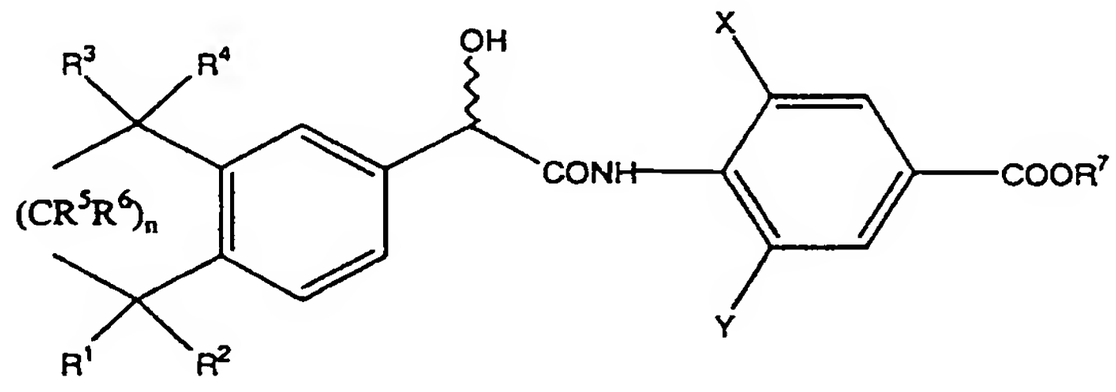
B is hydrogen, COOH or a pharmacetically acceptable salt thereof, $COOR^8$, $CONR^9R^{10}$, $-CH_2OH$, $CH_2OR^{11}$, $CH_2OCOR^{11}$, CHO, $CH(OR^{12})_2$, $CHOR^{13}O$, $-COR^7$, $CR^7(OR^{12})_2$, or $CR^7OR^{13}O$, where $R^7$ is an alkyl, cycloalkyl, or alkenyl group containing 1 to 5 carbons, $R^8$ is an alkyl group of 1 to 10 carbons, or a cycloalkyl group of 5 to 10 carbons, or $R^8$ is phenyl or lower alkylphenyl, $R^9$ and $R^{10}$ independently are hydrogen, an alkyl grop of 1 to 10 carbons, or a cycloalkyl group of 5 to 10 carbons, or phenyl or lower alkylphenyl, $R^{11}$ is a lower alkyl, phenyl or lower alkylphenyl, $R^{12}$ is lower alkyl, and $R^{13}$ is divalent alkyl radical of 2 to 5 carbons.

A particularly useful compound in this family of RARγ selective agonists is that of Formula IIIa.




IIIa

A fourth family of RARγ selective agonists useful in the methods of this invention is that described in U.S. Patent No. 5,760,084 issued June 2, 1998 and is represented by Formula IV.




IV

wherein X is F, Cl, OH, or $CH_3$;

Y is H or F;

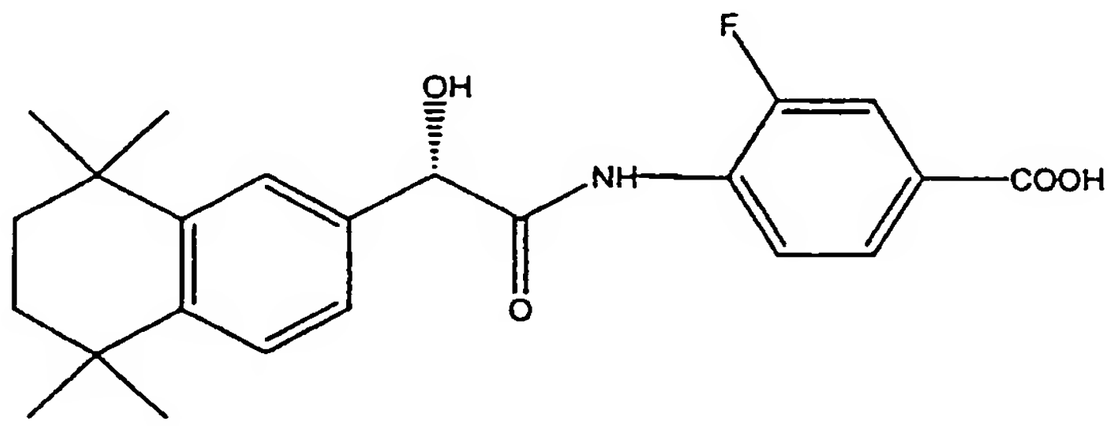
$R^1$ through $R^6$ are each independently hydrogen or $C_1$ to $C_6$ alkyl;

n is an integer of 1 to 4; and

$R^7$ is hydrogen or a carboxyl-protecting group;

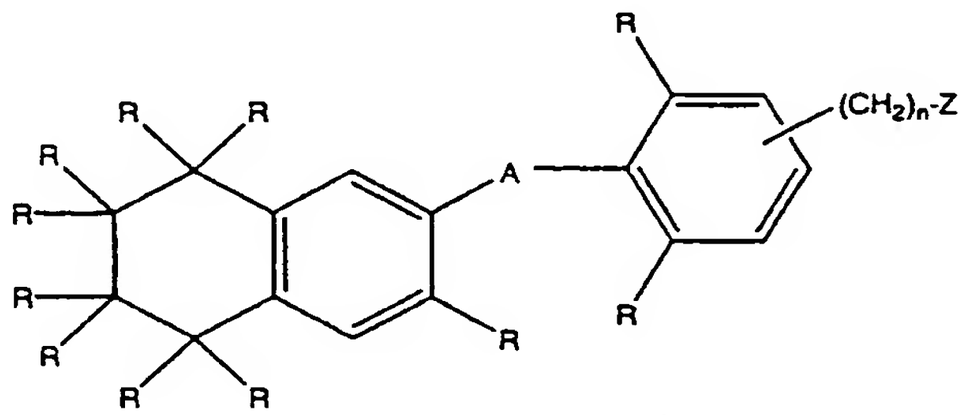
and pharmaceutically acceptable salts thereof.

A particularly useful compound in this family of RARγ selective agonists is that of Formula IVa (BMS-961).




IVa

A fifth family of RARγ selective agonists useful in the methods of this invention is described in U.S. Patent Nos. 5,130,335 issued July 12, 1992 and 5,231,113 issued July 27, 1993 and is represented by Formula V.




V

wherein the R groups are independently hydrogen or lower alkyl;

A is –C(O)O-, -OC(O)-, -C(O)S-, or SC(O)-;

n is 0 to 5; and

Z is H, -COB, –OE, -CHO or an acetal derivative thereof, or $-COR^3$

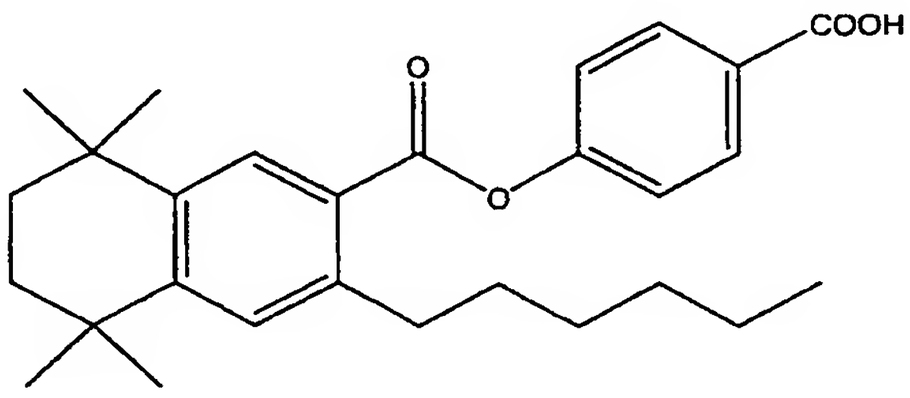
wherein

B is $-OR^1$ wherein $R^1$ is an ester-forming group, or B is $-N(R)_2$ wherein R is hyrogen or lower alkyl;

E is hydrogen, an ether-forming group, or $-COR^2$ where $R^2$ is hydrogen, lower alkyl, phenyl, or lower alkyl phenyl;

$R^3$ is $-(CH_2)_mCH_3$ wherein m is 0 to 4 and the sum of n and m does not exceed 4.

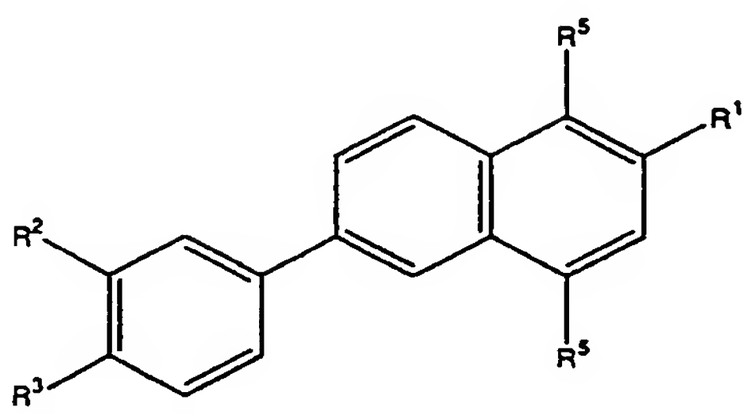
A particularly useful compound in this family of RARγ selective agonists is that of Formula Va.




Va

A sixth family of RARγ selective agonists useful in the methods of this invention is described in PCT Publication WO 97/37648 and French patent

application FR2739557-A1, published April 11, 1997 and is represented by Formula VI.



VI

where $R^1$-$R^5$ are as described in WO 97/37648.

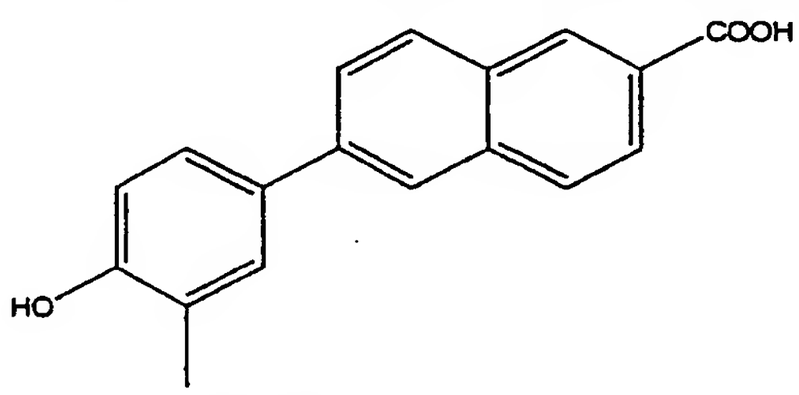
In particular, $R^1$ is $C(O)R^6$ or $CH_2OH$ (where $R^6$ is hydroxy or $C_1$-$C_6$ alkoxy);

$R^2$ is hydrogen, $C_1$-$C_{15}$ alkyl, $C_1$-$C_6$ alkoxy or cycloaliphatic;

$R^3$ is hydrogen, hydroxy, $C_1$-$C_6$ alkyl, dihydroxy $C_1$-$C_6$alkyl, $C_1$-$C_{10}$ alkoxy or cycloaliphatic; and

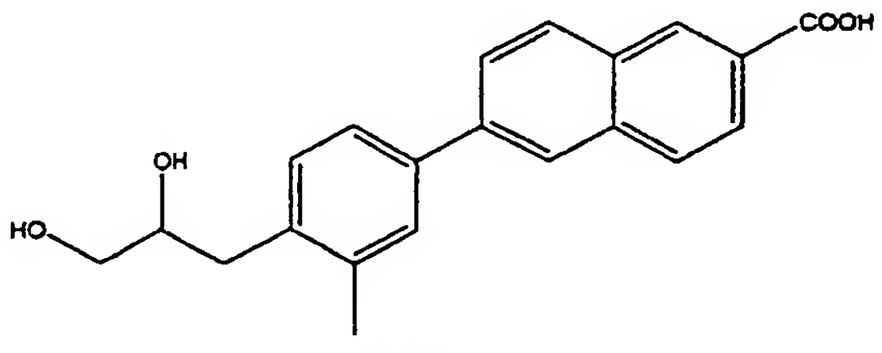
$R^4$ and $R^5$ are independently hydrogen, hydroxy, $C_1C_6$ alkyl, $C_1$-$C_6$ alkoxy.

A particularly useful compound in this family of RARγ selective agonists is that of Formula VIa (CD-437) and Formula VIb (CD-2247), described in Biochem. Biophys. Res. Commun. **179**: 1554 – 1561 (1991), Biochem. Biophys. Res. Commun. **186**: 977 – 984 (1992), and Int. J. Cancer **71**: 497 (1997).



1-Adamantyl

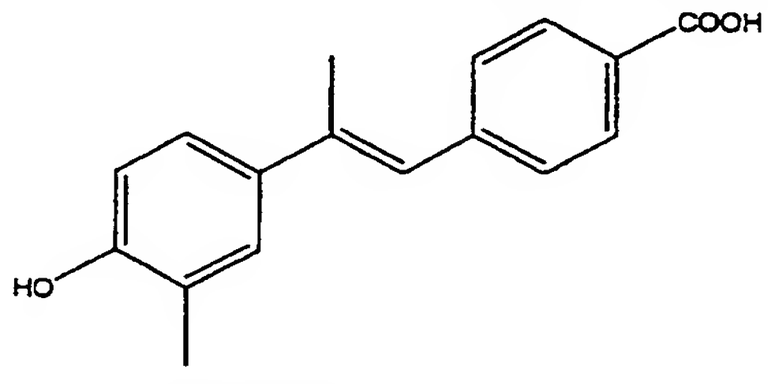
VIa



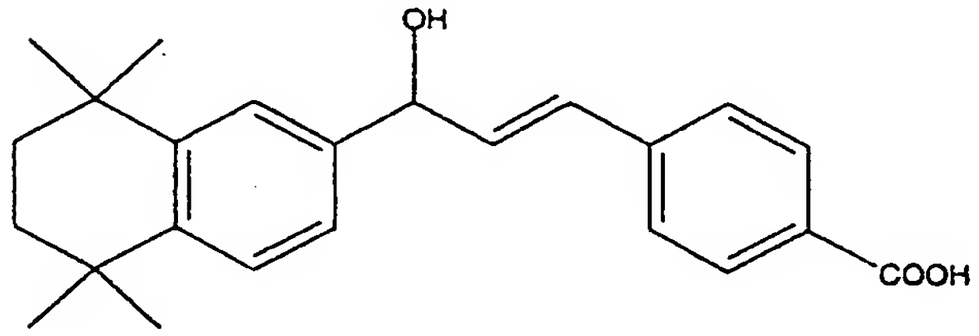
1-Adamantyl

VIb

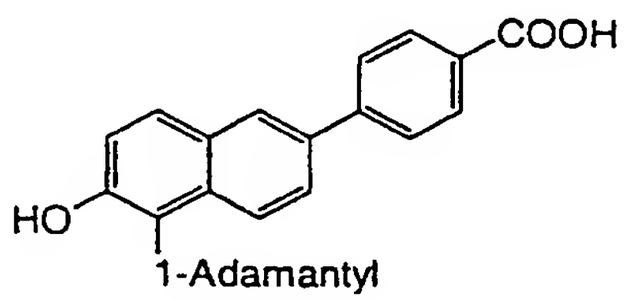
Further compounds of interest are those of Formula VII, VIII, IX, X, and XI ((R) and (S) enantiomers) as described in Skin Pharmacol. 8: 292 – 299 (1995)).



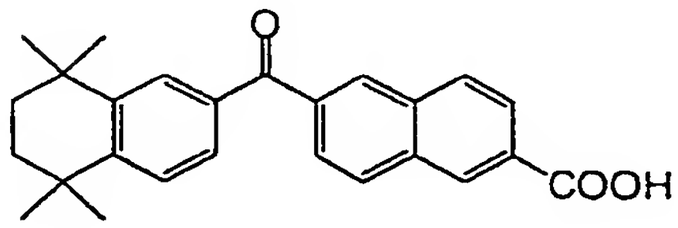

VII



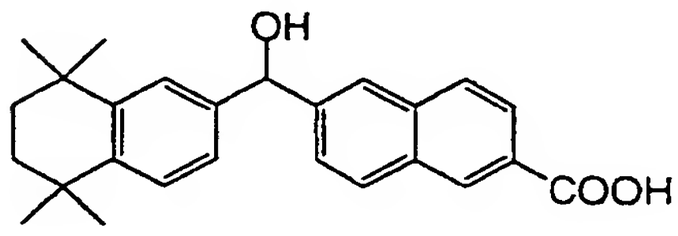

VIII




X

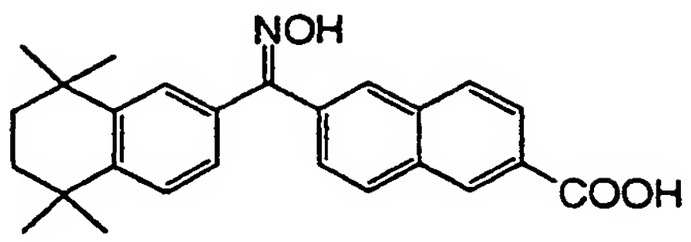



IX



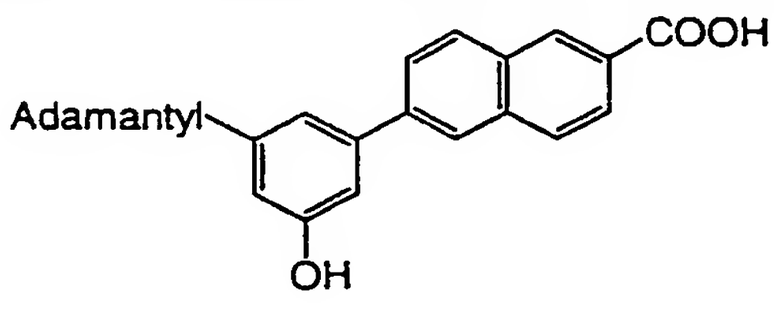

XI

Other compounds useful in the methods of this invention are represented by Formula XII (J. Med. Chem. 39: 2411 – 2421 (1996) and Cancer Res. 55: 446 – 4451 (1995)).

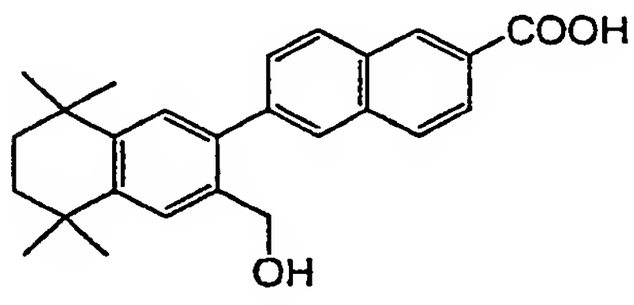


XII

and Formula XIII and XIV (Cancer Letters, 115: 1 – 7 (1997)).

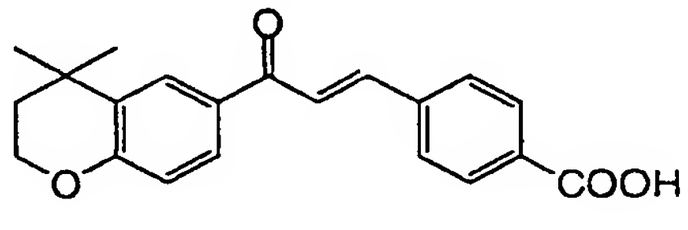


XIII



XIV

A further compound of interest is represented by Formula XV (J. Med. Chem. 32: 834 – 840 (1989) and Japanese patent publication 62/053981 (1987).



XV

RARγ selective compounds should demonstrate a minimum 10 fold selectivity relative to RARα and RARβ in transactivation assays and preferably greater than 100 fold selectivity relative to RARα.

The RARγ agonist selectivity of a compound can be determined by routine ligand binding assays known to one of skill in the art such as described in C. Apfel *et al.*, Proc. Nat. Sci. Acad. (USA), 89: 7129-7133 (1992); M. Teng *et al.*, J. Med. Chem., 40: 2445-2451 (1997); and PCT Publication WO 96/30009.

RARγ selective agonists, as disclosed herein, are useful for promoting the repair of damaged alveoli and septation of new alveoli. As such, these compounds are useful for treating diseases such as emphysema.

The particular dosage of a RAR agonist or an RARγ selective agonist required to promote alveolar repair/regeneration according to this invention will depend on the severity of the condition, the route of administration and related factors which will be decided by the attendant physician. Typically, the oral dosage will range between about 1.0 mg/kg of body weight per day (mg/kg/day) to 0.01 mg/kg/day, preferably from about 0.1 to about 0.05 mg/kg body weight per day. For a 50 kg human subject, the daily oral dose of active ingredient is from about 50 to about 0.5 mg, preferably from about 5 to about 2.5 mg. This dosage may be delivered in a conventional pharmaceutical composition by a single administration, by multiple applications, or via controlled release, as needed to achieve the most effective results. It is expected that local aerosol delivery of the drug to pulmonary airspaces would reduce the effective dose 10 to 100 fold (10 μg/kg/day to 0.1 μg/kg/day). Dosing will continue for as long as is medically indicated, which, depending on the severity of the disease, may range from a few weeks to several months.

Typically, a pharmaceutically acceptable composition, such as a salt, or prodrug of the RAR agonist in a pharmaceutically acceptable carrier or diluent is administered. In the context of the present invention, pharmaceutically acceptable salts include any chemically suitable salt known in the art of retinoids as applicable for administration to human patients. Examples of conventional salts known in the art include the alkali metal salts such as sodium and potassium salts, the alkaline earth metal salts such as calcium and magnesium salts, and ammonium and alkyl ammonium salts. Particularly preferred prodrug compositions of the RAR γ agonists include hydrolyzable ester derivatives such as aromatic and benzyl esters, or lower alkyl esters e.g., ethyl, t-butyl, cyclopentyl and the like.

Representative delivery regimens include oral, parenteral (including subcutaneous, intramuscular and intravenous), rectal, buccal (including sublingual), transdermal, pulmonary and intranasal. One method of pulmonary administration involves aerosolization of an aqueous solution of an RAR agonist. Aerosolized compositions may include the compound packaged in reverse micelles or liposomes. Other methods for pulmonary delivery include dry powder delivered with dry powder inhalant devices and liquid formulation of the retinoid agonist with polyethylene glycols or aqueous ethanol delivered with electrohydrodynamic inhalant devices. Typical pulmonary and respiratory delivery systems are described in U.S. Patent No. 5,607,915, 5,238,683, 5,292,499, and 5,364,615, and "Aerosol delivery of liposomal ATRA to the lungs," Parthasarathy, R., Gilbert, B.M., and Mehta, K., Cancer Chemotherapy Pharmacol, 43: 277-283, 1999.

In accordance with the invention are also used as medicaments eg. in the form of pharmaceutical preparation for systemic administration of RARγ agonists in simultaneous or sequential combination with a further active ingredient for improving mucociliary clearance of airway mucus or reducing mucous viscosity. Representative active ingredients for improving mucociliary clearance include, for example, sodium channel blockers (e.g. amiloride) or antibiotics (e.g. duramycin, nisin or subtilin). Representative active ingredients for reducing mucous viscosity include N-acetylcysteine, homocysteine and phospholipids.

RARγ agonists will typically be administered as pharmaceutical compositions in admixture with a pharmaceutically acceptable, non-toxic carrier. As mentioned above, such compositions may be prepared for parenteral administration (subcutaneous, intramuscular or intravenous), particularly in the form of liquid solutions or suspensions; for oral or buccal administration, particularly in the form of tablets or capsules; for intranasal administration, particularly in the form of powders, nasal drops or aerosols; and for rectal or transdermal administration. Any conventional carrier material can be employed. The carrier material can be any organic or inorganic carrier material, such as water,

gelatin, gum arabic, lactose, starch, magnesium stearate, talc, polyalkylene glycols, petroleum jelly and the like.

Liquid formulations for parenteral administration or for oral administration may contain as excipients sterile water or saline, alkylene glycols such as propylene glycol, polyalkylene glycols such as polyethylene glycol, oils of vegetable origin, hydrogenated naphthalenes and the like. They may employ slightly acidic buffers in pH ranges of about 4 to about 6. Suitable buffers include acetate, ascorbate and citrate at concentrations ranging from about 5 mM to about 50 mM. For oral administration, the formulation can be enhanced by the addition of bile salts or acylcarnitines.

Formulations for buccal administration may be solid and may contain as typical excipients sugars, calcium stearate, magnesium stearate, pregelatinated starch, and the like.

Solid forms for oral administration include tablets, hard and soft gelatin capsules, pills, sachets, powders, granules and the like. Each tablet, pill or sachet may contain from about 5 to about 200 mg of RARγ agonist, preferably from about 10 to about 50 mg. Preferred solid oral dosage forms include tablets, two-piece hard shell capsules and soft elastic gelatin (SEG) capsules. SEG capsules are of particular interest because they provide distinct advantages over the other two forms (see Seager, H., "Soft gelatin capsules: a solution to many tableting-problems, "Pharmaceutical Technology, 9: (1985). Some of the advantages of using SEG capsules are: a) dose-content uniformity is optimized in SEG capsules because the drug is dissolved or dispersed in a liquid that can be dosed into the capsules accurately; b) drugs formulated as SEG capsules show good bioavailability because the drug is dissolved, solubilized or dispersed in an aqueous-miscible or oily liquid and therefore when released in the body the solutions dissolve or are emulsified to produce drug dispersions of high surface area; and c) degradation of drugs that are sensitive to oxidation during long-term storage is prevented because the dry shell.

Formulations for nasal administration may be solid and may contain excipients, for example, lactose or dextran, or may be aqueous or oily solutions for use in the form of nasal drops or metered spray. Particular nasal formulations include dry powders suitable for conventional dry powder inhalers (DPI's), liquid solutions or suspensions suitable for nebulization and propellant formulations suitable for use in metered dose inhalers (MDI's).

When formulated for nasal administration, the absorption across the nasal mucous membrane may be enhanced by surfactant acids, such as for example, glycocholic acid, cholic acid, taurocholic acid, ethocholic acid, deoxycholic acid, chenodeoxycholic acid, dehydrocholic acid, glycodeoxycholic acid, cyclodextrins and the like, in an amount in the range between about 0.2 and 15 weight percent, preferably between about 0.5 and 4 weight percent, most preferably about 2 weight percent.

Delivery of the compounds of the present invention to the subject over prolonged periods of time, for example, for periods of one week to one year, may be accomplished by a single administration of a controlled release system containing sufficient active ingredient for the desired release period. Various controlled release systems, such as monolithic or reservoir type microcapsules, depot implants, osmotic pumps, vesicles, micelles, liposomes, transdermal patches, iontophoretic devices and alternative injectable dosage forms may be utilized for this purpose. Localization at the site to which delivery of the active ingredient is desired is an additional feature of some controlled release devices, which may prove beneficial in the treatment of certain disorders.

The following are representative pharmaceutical formulations for using RAR γ selective agonists as described herein for promoting elastin mediated matrix repair and alveolar septation.

Tablet formulation

The following ingredients are mixed intimately and pressed into single scored tablets.

Quantity per tablet containing 1.0-50 mg active substance:

| Ingredient | Quantity per tablet, mg |
|---|---|
| RAR agonist | 10 |
| cornstarch | 50 |
| croscarmellose sodium | 25 |
| lactose | 120 |
| magnesium stearate | 5.0 |

Capsule formulation

The following ingredients are mixed intimately and loaded into a hard-shell gelatin capsule.

Quantity per capsule containing 5 mg active substance:

| Ingrédient | Quantity per capsule, mg |
|---|---|
| RAR agonist | 5 |
| lactose, spray-dried | 148 |
| magnesium sterate | 2 |

Suspension formulation

The following ingredients are mixed to form a suspension for oral administration:

| Ingredient | Amount |
|---|---|
| RAR agonist | 1.0 – 50 mg |
| fumaric acid | 0.5 g |
| sodium chloride | 2.0 g |
| methyl paraben | 0.15 g |
| propyl paraben | 0.05 g |
| granulated sugar | 25.5 g |
| sorbitol (70% solution) | 12.85 g |
| Veegum K (Vanderbilt Co.) | 1.0 g |
| flavoring | 0.035 ml |
| colorings | 0.5 mg |
| distilled water | q.s. to 100 ml |

Injectable formulation

The following ingredients are mixed to form an injectable formulation:

| Ingredient | Amount |
|---|---|
| RAR agonist | 100 mg |
| sodium acetate buffer solution, 0.4 M | 2.0 ml |
| HCl (1N) or NaOH (1N) | q.s. to suitable pH |
| water (distilled, sterile) | q.s. to 20 ml |

Nasal formulation

The following ingredients are mixed to form a suspension for nasal administration:

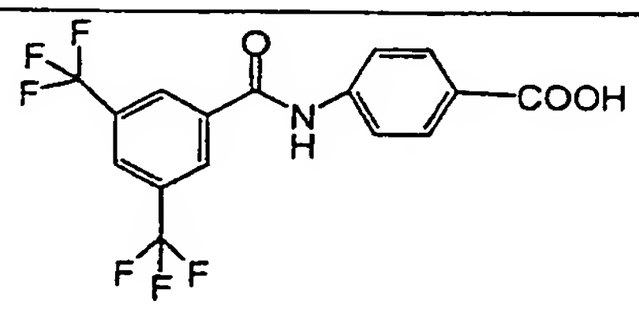
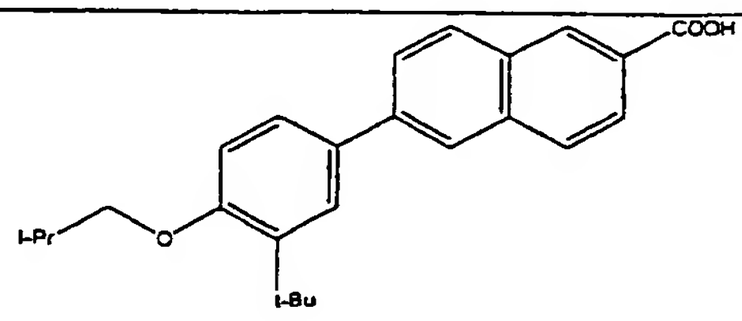
| Ingredient | Amount |
|---|---|
| RAR agonist | 20 mg/ml |
| citric acid | 0.2 mg/ml |
| sodium citrate | 2.6 mg/ml |
| benzalkonium chloride | 0.2 mg/ml |
| sorbitol | 35 mg/ml |
| sodium taurocholate or glycocholate | 10 mg/ml |

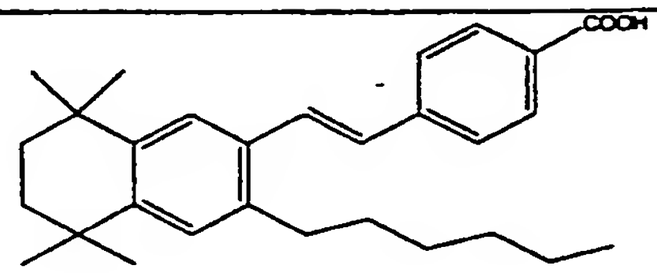
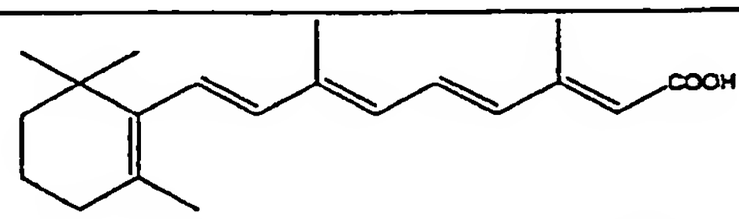
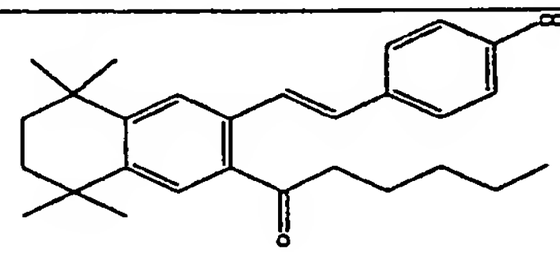
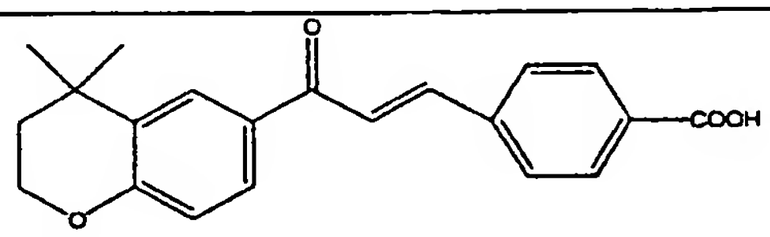
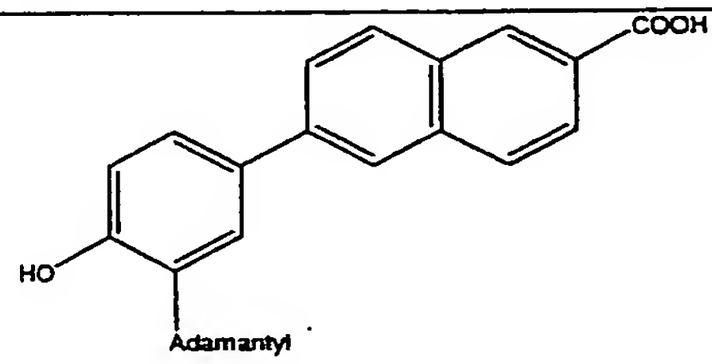
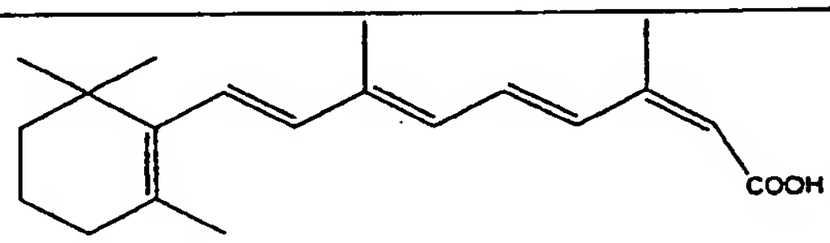
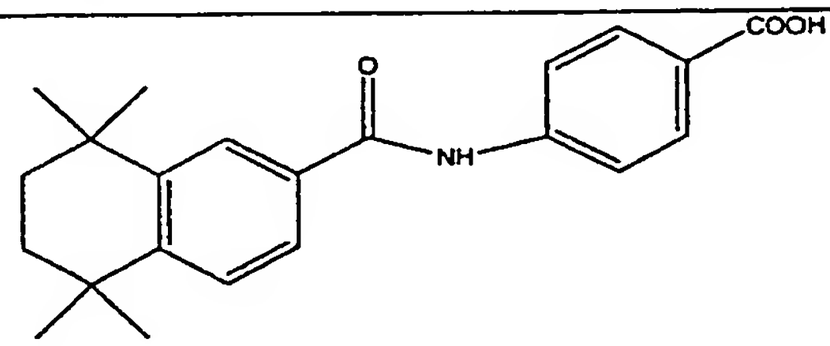
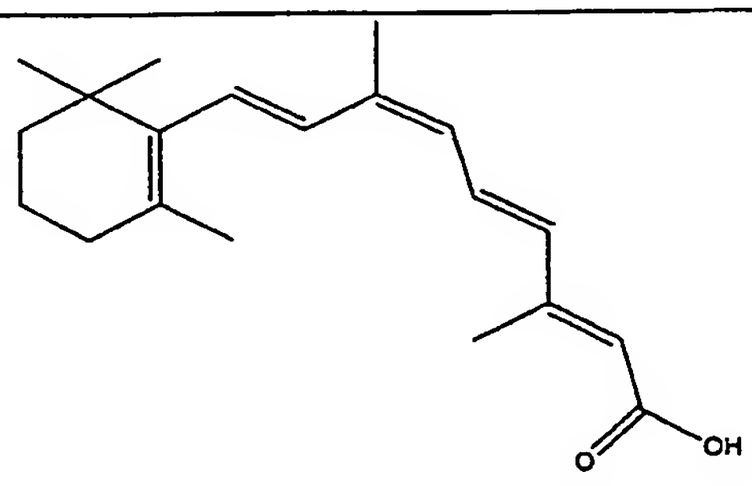
The following preparations and examples are given to enable those skilled in the art to more clearly understand and to practice the present invention. They should not be considered as limiting the scope of the invention, but merely as being illustrative and representative thereof.

These examples utilized one or more of the RAR agonists contained in the following table

Table I

RAR Agonists Utilized in Examples

| RAR Agonist | Structure |
|---|---|
| 1 |  |
| 2 |  |

| | |
|---|---|
| 3 |  |
| 4 |  |
| 5 |  |
| 6 |  |
| 7 |  |
| 8 |  |
| 9 |  |
| 10 |  |

**EXAMPLE 1:**

RAR Transactivation of Steady State Tropoelastin Gene

Transactivation of the tropoelastin gene in normal human lung fibroblasts (CCD-16Lu) was performed as described below. Cells were grown to confluence at which time fresh media +/- ATRA or selective retiniod was added to the basal culture. ATRA and/or selective retiniods were used at a concentration of $1 \times 10^{-7}$ M. The cell layer was lysed using a guanidinium-based buffer (TRIZOL/Sigma) for RNA extraction and analysis. Amplification of tropoelastin and GAPDH RNA was performed by standard quantitative RT-PCR (TAQMAN, Perken/Elmer) using appropriate selective primers. Tropo-elastin gene expression was normalized to the expression of standard house-keeping gene (GAPDH).

Samples were run in triplicate. The results are shown below in Table II. These results demonstrate that ATRA (RAR Agonist 4) slightly induces tropoelastin gene induction compared to the control and that the RARγ selective agonist (RAR Agonist 3) markedly induces tropoelastin gene induction. However, the RARα and RARβ selective-agonists (RAR Agonists 1 and 2) did not induce the tropoelastin gene.

Table II

RAR γ Drives Tropo-elastin Gene Expression in Human Lung Fibroblasts

| Treatment added to Cell Culture | | Transactivation $EC_{50}$ (nM) | Binding $IC_{50}$ (nM) | Tropo-elastin Expression (Elastin/GAPDH)x$10^5$ |
|---|---|---|---|---|
| RAR Agonist 1 | α | **16.5** | **300** | 13.93 |
| | β | 10000 | 10000 | |
| | γ | 10000 | 10000 | |
| RAR Agonist 2 | α | 2000 | 1000 | 1.85 |
| | β | **39** | **28** | |
| | γ | 160 | 300 | |
| RAR Agonist 3 | α | 1000 | 2700 | 68.58 |
| | β | 88 | 3000 | |
| | γ | **15** | **210** | |

| | | | | |
|---|---|---|---|---|
| ATRA<br>RAR Agonist 4 | α<br>β<br>γ | 6.7<br>3.8<br>2.5 | 14<br>14<br>14 | 35.50 |
| Control<br>CCD-16Lu | α<br>β<br>γ | NA<br>NA<br>NA | | 30.90 |

**EXAMPLE 2**

RAR Selective Agonists in Rat Lung

All-trans retinoic acid (ATRA) and RAR selective agonists were evaluated for their effects on alveolar repair in the rat model of elastase-induced emphysema (Massaro, G. and Massaro, D., Nature, Vol. 3 No. 6: 675-677 (1997)). Animals were divided into treatment groups of approximately eight. Lung inflammation and alveolar damage was induced in male Sprague Dawley rats by a single instillation of pancreatic elastase (porcine derived, Calbiochem) 2 U/gram body mass.

Three weeks post injury ATRA or a RAR selective agonist was dissolved in DMSO (20 mg/ml) and stored at -20 C. Fresh working stocks were prepared fresh daily by dilution in corn oil to a final concentration of 2mg/ml. Animals treated with ATRA and RAR selective agonists (0.5 mg/Kg ip) were dosed once daily by intraperitoneal injection, starting 21 days post injury. Control groups were challenged with elastase and 21 days later treated with Vehicle (DMSO/PBS) for 14 days. Animals were sacrificed 24 hours after the last dose of by exsanguination under deep anesthesia. Blood was collected at time of exanguination for analysis of changes in blood chemistry from respective treatments.

The lungs were inflated with 10% neutral buffered formalin by intratracheal instillation at a constant rate (1 ml/gram body mass/min). The lung was excised and immersed in fixative for 24 hours prior to processing. Standard methods were used to prepare 5 μm paraffin sections. Sections were stained with Hematoxylin and Eosin (H%E). Computerized Morphometric analysis was performed to determine the average alveolar size and alveolar number.

The results are shown below in Table III. These results demonstrate that ATRA (RAR Agonist 4) induces alveolar repair, reversing the alveolar destruction caused by treatment with elastase. RAR γ and RARγ/β selective agonists (RAR

Agonists 3, 5, 6, and 7) markedly induce alveolar repair. However, RAR β and RAR α selective agonists (RAR Agonists 1, 2, and 9) do not induce alveolar repair.

In addition, light micrographs of lung sections were taken. Micrographs were taken of a normal rat lung, a rat lung damaged by elastase and then treated with RAR Agonist 1 (α selective), a rat lung damaged by elastase and then treated with RAR Agonist 2 (β selective), and a rat lung damaged by elastase and then treated with RAR Agonist 3 (γ selective). The micrographs revealed gross structural differences evident among the lungs receiving the three different treatments. When compared to the micrograph of the normal rat lung, the micrograph of the lung treated with the RAR γ selective agonist showed alveolar area similar to the normal rat lung. The micrograph of the lung treated with the RAR α selective agonist and the micrograph of the lung treated with the RAR β selective agonist did not reverse the change caused by elastase treatment.

Table III RAR Selective Agonists in Rat Lung

| Treatment Given | | Transactivation $EC_{50}$ (nM) | Binding $IC_{50}$ (nM) | Alveolar Area | % Repair |
|---|---|---|---|---|---|
| Naïve (not treated with Elastase) | α | NA | | 1637+/- 153 | NA |
| | β | NA | | | |
| | γ | NA | | | |
| Elastase + Vehicle | α | NA | | 3570+/- 319 | NA |
| | β | NA | | | |
| | γ | NA | | | |
| ATRA RAR Agonist 4 | α | 6.8 | 14 | 2607 +/- 191 | 50 |
| | β | 3.8 | 14 | | |
| | γ | 2.5 | 14 | | |
| RAR Agonist 1 | α | **16.5** | **300** | 3058 +/- 160 | <20 |
| | β | 10000 | 10000 | | |
| | γ | 10000 | 10000 | | |
| RAR Agonist 2 | α | 2000 | 1000 | 3653 +/- 550 | <20 |
| | β | **39** | **28** | | |
| | γ | 160 | 300 | | |
| RAR Agonist 3 | α | 1000 | 2700 | 1929 +/- 150 | >70 |
| | β | 88 | 3000 | | |
| | γ | **15** | **210** | | |

| | | | | | |
|---|---|---|---|---|---|
| RAR Agonist 5 | α | 10000 | 2300 | 2502 +/- 243 | 56 |
| | β | 10000 | 5400 | | |
| | γ | **104** | **770** | | |
| RAR Agonist 6 | α | 10000 | 3100 | 1909 +/- 207 | >70 |
| | β | **16** | **2100** | | |
| | γ | **15** | **2410** | | |
| RAR Agonist 7 | α | 2700 | 6500 | 1817 +/- 241 | >70 |
| | β | 2300 | 4600 | | |
| | γ | **9** | **310** | | |
| RAR Agonist 9 | α | **3** | **39** | 3323 +/- 406 | <20 |
| | β | 25 | 870 | | |
| | γ | 40 | 4500 | | |

**EXAMPLE 3**

Effects of RAR pan Agonist and RARγ and RARγ/β Selective Retinoid Agonists on Plasma Trigylceride Levels

Experimental emphysema was induced in rats as described in Example 2. Three (3) weeks post injury animals were treated with vehicle (Capmul solution) or retinoids prepared in Capmul and dosed orally at 1, 3, and 10 mg/kg body weight. Animals were treated for 14 days prior to termination of study. Lung harvest and blood collection was performed as described in Example 2.

Quantitation of triglycerides contained in rat plasma was performed after using established procedures in a contact clinical laboratory facility. Briefly, plasma triglycerides were converted to dihdroxyacetone and $H_2O_2$, by sequential treatment of plasma with lipase and glycerokinase according directions described by manufacturer of triglycerides/GPO kit (Boehringer Mannheim #1488872). $H_2O_2$ was quantitated colorimetrically in a Hitachi 911 Chemistry Analyzer. In rats normal triglyceride levels are 75 – 175 mg/dl.

The results are shown below in Table IV. These results demonstrate that the RAR pan agonists (RAR Agonists 4 (ATRA), 8, and 10) cause triglyceride levels in rats to be either on the high end of normal or elevated. In contrast, the RARγ selective retiniods (RAR Agonists 3, 7, and 5) and RARγ/β selective retinoids (RAR Agonist 6) do not cause elevation in the triglyceride levels; for

these RARα sparing retinoids, the triglyceride levels remain well within the middle to low end of the normal range. Therefore, it appears that RARα selective activity is linked to elevated triglyceride levels.

Table IV

RARγ Promotes Alvelor Repair and Spares Triglycerides

| Treatment Given | Receptor Selectivity | % Repair | [Triglyceride] mg/dl |
|---|---|---|---|
| ATRA<br>RAR Agonist 4 | α, β, γ | 37.6 | 182 |
| RAR Agonist 8 | α, β, γ | 57.1 | 178 |
| RAR Agonist 10 | α, β, γ | 58.3 | 273 |
| RAR Agonist 6 | γ, β | 39 | 140 |
| RAR Agonist 3 | γ | 73.7 | 92 |
| RAR Agonist 7 | γ | 66 | 114 |
| RAR Agonist 5 | γ | 40 | 119 |

**EXAMPLE 4**

Clinical Trial Study

Men and women enrolled in this study will be between the ages of 45-75, having a history of emphysema and will have ceased smoking for a period of at least 6 months prior to entry into the study. In addition the patient must present with minimum of 2 out of the 3 following pulmonary function criteria:

- post bronchodialator TLC (total lung capacity) ≥ 110% predicted (indicative of hyperinflation)
- post bronchodialator FEV1(forced expiratory volume) ≤ 70% predicted (indicative of moderate airflow obstruction).
- DLCO (dilution lung carbon monoxide) ≤ 65% predicted (indicative of moderate-to severe destruction of alveolar structures.

In addition the patients should have CT scan evidence of mild to moderate emphysema, adequate renal and hepatic function, and normal bone marrow.

Patients will be excluded from the study having one or more of the following criteria:

FEV1<0.8 litres, unexplained weight loss >10% usual weight per yea, recurrent lung infections > 2 per year with sputum in excess of 3 tablespoons/day, bronchiectasis, unstable angina, hypertriglycerides >300 mg% , hypercholesteremia >220%, oral steroid dependency, concurrent medications known to interfere with P450 hepatic systems, acute or chronic liver disease of excessive alcohol consumption, or history of allergy to retinoids.

**Study Design**

| Group | N | Dose | Regimen |
|---|---|---|---|
| A | 60 | placebo | 5 days/week |
| B1 | 60 | 1mg/kg/ | 5 days/week |
| B2 | 60 | 1mg/kg | 1 day/week |
| C1 | 60 | 0.1mg/kg/ | 5 days/week |
| C2 | 60 | 0.1mg/kg | 1 day/week |

All patients will be observed for a period of 3 months after completion of active treatment phase in order to assess residual lung improvement or toxicity. Pulmonary function testing (PFT) and system-based questionaires will be performed every 3 months. HRCT will be performed only at the beginning of screening and after completion of treatment. Individuals receiving high dose or potentially low dose of retinoid may demonstrate one or more of the following responses: reduction in rate of decline in FEV1 from 63 ml/year to 31 ml/year; show initial 5% improvement in FEV during first year of treatment; improvement in DLCo (5-10%); improvement in quality of life as determined by standard questionaire.

## EXAMPLE 5

Determination of Pulmonary Gas Exchange

Pulmonary and arterial blood gases were determined in elastase damaged rats ± retinoid treatment prior to termination of study. Rats were placed under deep anesthesia using pentobarbital (50 mg/kg, i.p. and a tracheal cannula (PE 240)

was inserted. The rats were artificially ventilated (f= 90, TV= approx 0.5 ml/100 g BW) using a small animal respiratory pump (Harvard). For each rat, the pump parameters were adjusted to establish an arterial $CO_2$ level for the pulmonary artery ($PCO_2$) of 30-35 torr. Arterial blood samples (approx 0.2ml) were taken from the abdominal aorta (AO2) and immediately analyzed by pHOx blood gas . Data are presented as percent (%) recovery relative to elastase + vehicle treated rats.

As shown below, treatment of elastase-damaged rats with retinoids improved gas exchange. In particular, RARg selective Agonist 3 was more effective and more potent than the pan agonist (ATRA). This result is consistent with the effects on the structural repair of alveoli in the earlier Examples. Improved gas exchange correlates with decreasing the shortness of breath associated with emphysema. Thus, treatment of a patient with an RAR-gamma selective agonist will result in the alleviation of one of emphsema's major symptoms.

| Compound | Dose mg/kg | PCO2 | PO2 | AO2 |
|---|---|---|---|---|
| ATRA<br>Agonist 4 | 3.0 | 69.1 | 49.1 | 74.8 |
| RAR Agonist 3 | 0.01 | 84.6 | 49.8 | 100 |

The foregoing invention has been described in some detail by way of illustration and example, for the purposes of clarity and understanding. It will be obvious to one of ordinary skill in the art that changes and modifications may be practiced within the scope of the appended claims. Therefore, it is to be understood that the above description is intended to be illustrative and not restrictive. The scope of the invention should, therefore, be determined with reference to the following appended claims, along with the full scope of equivalents to which such claims are entitled.

## Claims

1. The use of an RAR agonist that is at least gamma selective and is RARα sparing for the manufacture of medicaments containing one or more such agonists for the treatment of emphysema or associated pulmonary diseases.

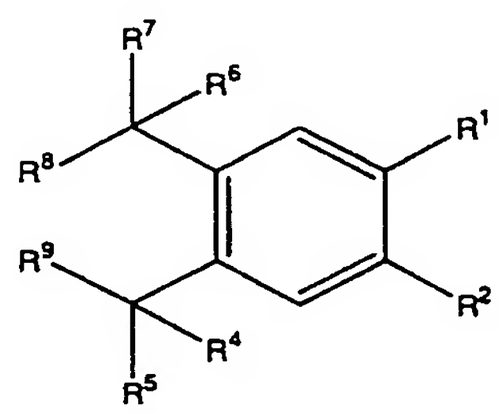
2. The use according to Claim 1, wherein the RAR agonist is an RARγ/β selective agonist.

3. The use according to Claim 1, wherein the RAR agonist is an RARγ selective agonist.

4. The use according to Claim 3, wherein the RARγ selective agonist binds to the RARγ receptor and transactivates with an $EC_{50}$ of at least 200 nM.

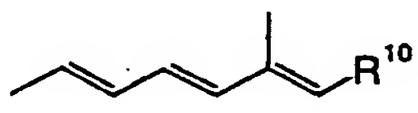
5. The use according to Claim 3, wherein the selectivity of the RARγ selective agonist for the RARγ receptor is at least 20 fold relative to the RARα and RARβ receptors.

6. The use according to Claim 3, wherein the RAR agonist is selected from compounds of Formula I:




I

where $R^1$ is a residue of the formula

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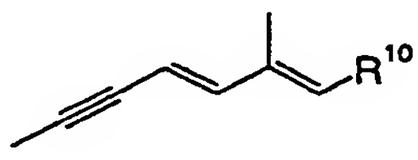

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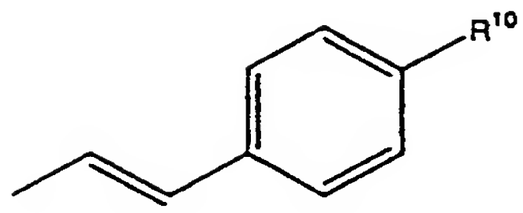
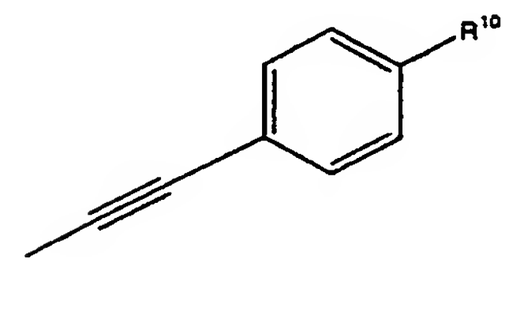


or



$R^2$ is $C_2$-$C_8$ alkanoyl, $C_2$-$C_8$ alkyl, $C_2$-$C_8$ alkenyl, $C_2$-$C_8$ alkynyl or -$OCH_2R^3$;

$R^3$ is hydrogen, $C_1$-$C_6$ alkyl, $C_2$-$C_6$ alkenyl or $C_2$-$C_6$ alkynyl;

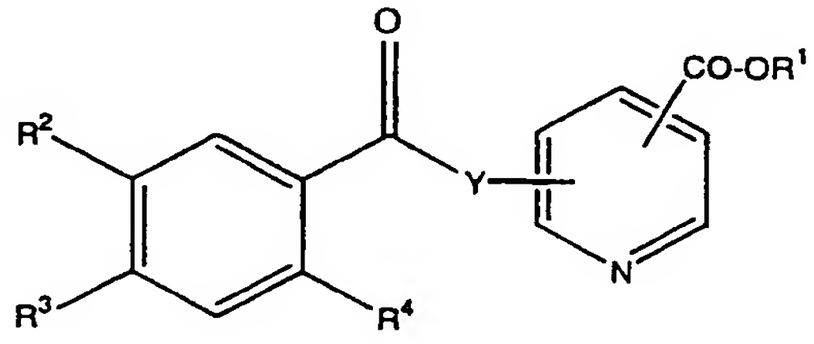
$R^4$ to $R^9$ are each independently hydrogen or $C_1$-$C_6$ alkyl;

or $R^8$ and $R^9$ together are $(CR^aR^b)_n$, $R^a$ and $R^b$ are independently hydrogen or $C_1$-$C_6$ alkyl, n is 1, 2 or 3 and $R^4$ to $R^7$ are the same as above;

$R^{10}$ is carboxyl, $C_{1-6}$ alkoxycarbonyl or mono- or di-($C_{1-6}$alkyl)carbamoyl;

and their pharmaceutically acceptable salts.

7. The use according to Claim 3, wherein the RAR agonist is selected from compounds of Formula II:



II

wherein

$R^1$ is hydrogen or $C_1$-$C_6$ alkyl;

$R^2$ is $C_1$-$C_6$ alkyl or adamantyl;

$R^3$ is $C_1$-$C_6$ alkyl or hydroxy; or

$R^2$ and $R^3$ taken together are $-(CR^6R^7)_n-$ (where $R^6$ and $R^7$ are hydrogen or $C_1$-$C_6$ alkyl and n is 3, 4 or 5);

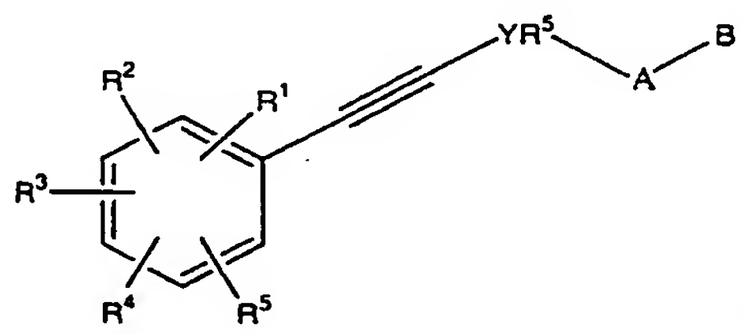
$R^4$ is $C_2$-$C_8$ alkanoyl, $C_2$-$C_8$ alkyl, $C_2$-$C_8$ alkenyl, $C_2$-$C_8$ alkynyl or -$OCH_2R^5$;

$R^5$ is $C_1$-$C_6$ alkyl, $C_2$-$C_6$ alkenyl or $C_2$-$C_6$ alkynyl;

Y is oxygen or sulfur;

and their pharmaceutically acceptable salts.

8. The use according to Claim 3, wherein the RAR agonist is selected from compounds of Formula III:




III

wherein

$R^1$-$R^3$ and $R^5$ are independently hydrogen, lower alkyl of 1 to 6 carbons, branched chain alkyl or cycloalkyl of 3 to 15 carbons, lower alkyl substituted cycloalkyl of 3 to 15 carbons;

$R^4$ is lower alkyl of 1 to 6 carbons, branched chain alkyl or cycloalkyl of 3 to 15 carbons, or lower alkyl substituted cycloalkyl of 3 to 15 carbons;

X is S or O;

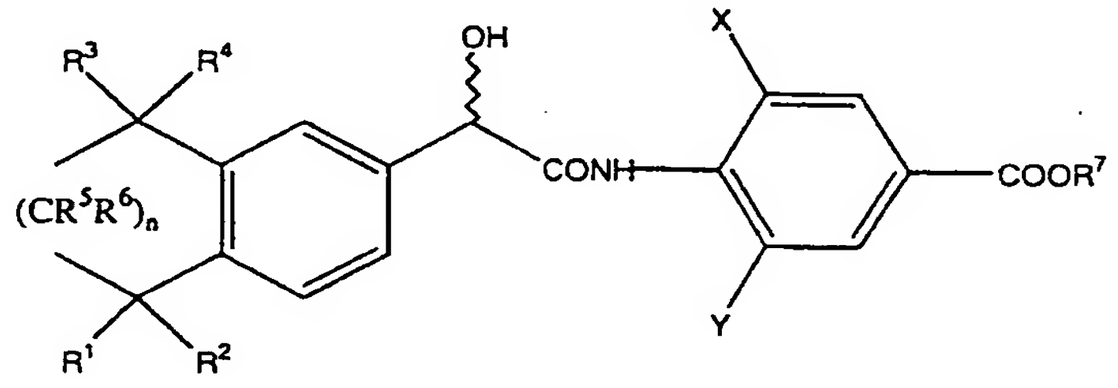
Y is a phenyl group, or heteroaryl selected from a group consisting of pyridyl, thienyl, furyl, pyridazinyl, pirimidinyl, pyrazinyl, thiazolyl, imidazolyl, and oxazolyl, said groups being substituted with the R5 group defined above;

A is $(CH_2)_n$ where n is 0 to 5, lower branched chain alkyl having 3 to 6 carbons, cycloalkyl having 3 to 6 carbons, alkenyl having 2 to 6 carbons and 1 or 2 double bonds, alkynyl having 2 to 6 carbons and 1 or 2 triple bonds;

B is hydrogen, COOH or a pharmacetically acceptable salt thereof, $COOR^8$, $CONR^9R^{10}$, $-CH_2OH$, $CH_2OR^{11}$, $CH_2OCOR^{11}$, CHO, $CH(OR^{12})_2$, $CHOR^{13}O$, $-COR^7$, $CR^7(OR^{12})_2$, or $CR^7OR^{13}O$, where $R^7$ is an alkyl, cycloalkyl, or alkenyl group containing 1 to 5 carbons, $R^8$ is an alkyl group

of 1 to 10 carbons, or a cycloalkyl group of 5 to 10 carbons, or $R^8$ is phenyl or lower alkylphenyl, $R^9$ and $R^{10}$ independently are hydrogen, an alkyl grop of 1 to 10 carbons, or a cycloalkyl group of 5 to 10 carbons, or phenyl or lower alkylphenyl, $R^{11}$ is a lower alkyl, phenyl or lower alkylphenyl, $R^{12}$ is lower alkyl, and $R^{13}$ is divalent alkyl radical of 2 to 5 carbons.

9. The use according to Claim 3, wherein the RAR agonist is selected from compounds of Formula IV:




IV

wherein

X is F, Cl, OH, or $CH_3$;

Y is H or F;

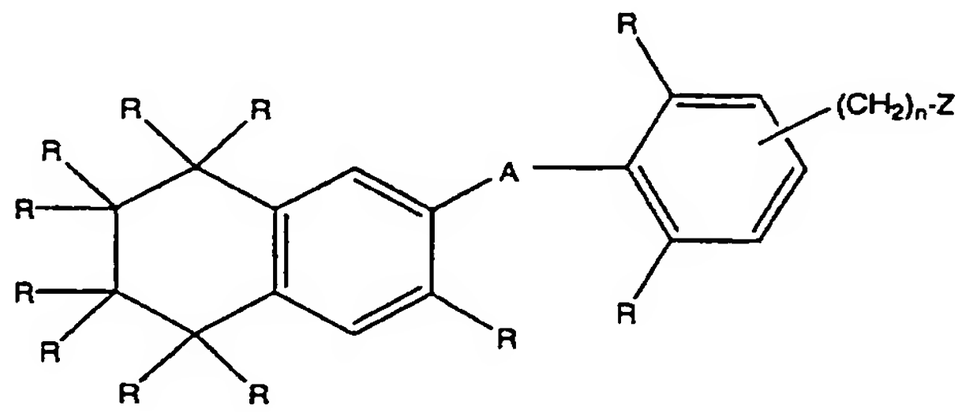
$R^1$ through $R^6$ are each independently hydrogen or $C_1$ to $C_6$ alkyl;

n is an integer of 1 to 4; and

$R^7$ is hydrogen or a carboxyl-protecting group;

and pharmaceutically acceptable salts thereof.

10. The use according to Claim 3, wherein the RAR agonist is selected from compounds of Formula V




V

wherein

the R groups are independently hydrogen or lower alkyl;

A is –C(O)O-, -OC(O)-, -C(O)S-, or SC(O)-;

n is 0 to 5; and

Z is H, -COB, –OE, -CHO or an acetal derivative thereof, or –$COR^3$

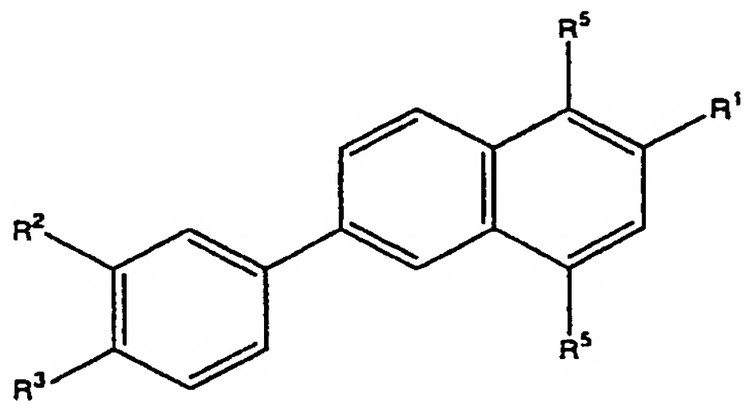
wherein

B is –$OR^1$ wherein $R^1$ is an ester-forming group, or B is –$N(R)_2$ wherein R is hyrogen or lower alkyl;

E is hydrogen, an ether-forming group, or –$COR^2$ where $R^2$ is hydrogen, lower alkyl, phenyl, or lower alkyl phenyl;

$R^3$ is –$(CH_2)_mCH_3$ wherein m is 0 to 4 and the sum of n and m does not exceed 4.

11. The use according to Claim 3, wherein the RAR agonist is selected from compounds of Formula VI




VI

wherein

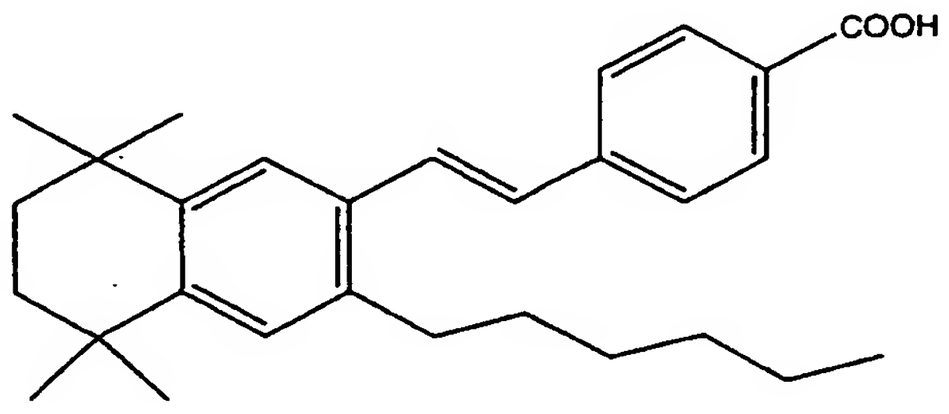
$R^1$ is $C(O)R^6$ or $CH_2OH$ (where $R^6$ is hydroxy or $C_1$-$C_6$ alkoxy);

$R^2$ is hydrogen $C_1$-$C_{15}$ alkyl, $C_1$-$C_6$ alkoxy or cycloaliphatic;

$R^3$ is hydrogen, hydroxy, $C_1$-$C_6$ alkyl, dihydroxy $C_1$-$C_6$alkyl, $C_1$-$C_{10}$ alkoxy or cycloaliphatic; and

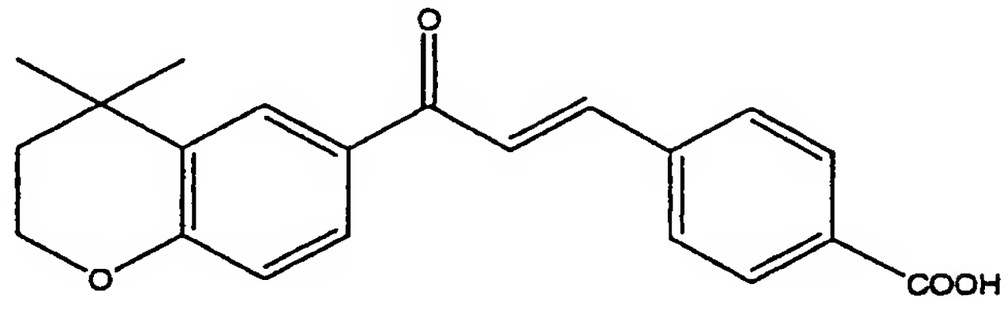
$R^4$ and $R^5$ are independently hydrogen, hydroxy, $C_1C_6$ alkyl, $C_1$-$C_6$ alkoxy.

12. The use according to Claim 3, wherein the RAR agonist is a compound of Formula VII:




VII.

13. The use according to Claim 2, wherein the RAR agonist is a compound of Formula VIII:




VIII.

14. The use of RAR agonists that are at least gamma selective and are RARα sparing for the manufacture of a medicament containing one or more such agonists for the treatment of a disease associated with alveolar damage.

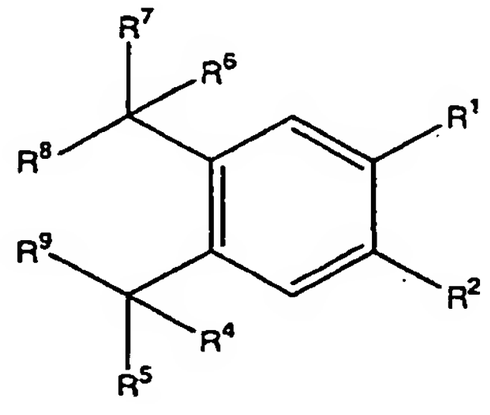
15. The use according to Claim 14 wherein the RAR agonist is an RAR γ/β selective agonist.

16. The use according to Claim 14 wherein the RAR agonist is an RARγ selective agonist.

17. The use according to Claim 16, wherein the RARγ selective agonist binds to the RARγ receptor and transactivates with an $EC_{50}$ of at least 200 nM.

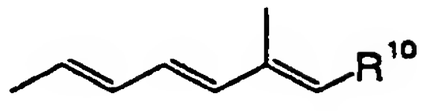
18. The use according to Claim 16, wherein the selectivity of the RARγ selective agonist for the RARγ receptor at least 20 fold relative to the RARα and RARβ receptors.

19. The use according to Claim 16, wherein the RAR agonist is selected from compounds of Formula I:

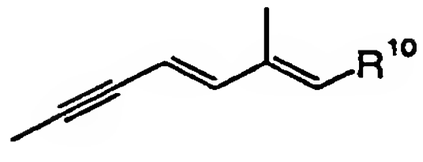



I

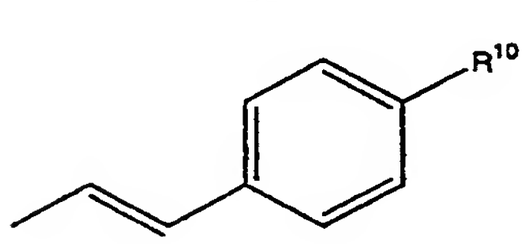
where $R^1$ is a residue of the formula



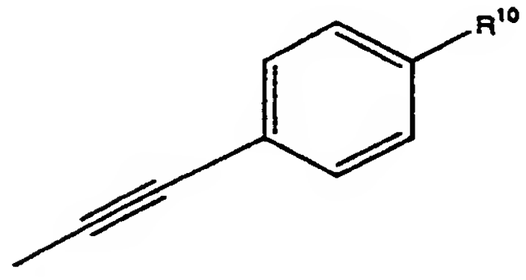
or



or



or



$R^2$ is $C_2$-$C_8$ alkanoyl, $C_2$-$C_8$ alkyl, $C_2$-$C_8$ alkenyl, $C_2$-$C_8$ alkynyl or -$OCH_2R^3$;

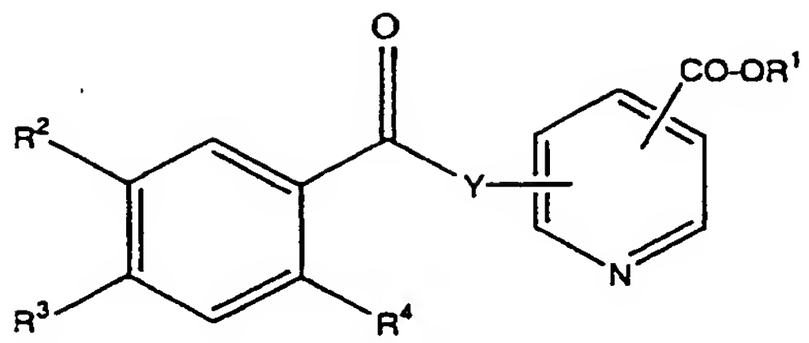
$R^3$ is hydrogen, $C_1$-$C_6$ alkyl, $C_2$-$C_6$ alkenyl or $C_2$-$C_6$ alkynyl;

$R^4$ to $R^9$ are each independently hydrogen or $C_1$-$C_6$ alkyl;

or $R^8$ and $R^9$ together are $(CR^aR^b)_n$, $R^a$ and $R^b$ are independently hydrogen or $C_1$-$C_6$ alkyl, n is 1, 2 or 3 and $R^4$ to $R^7$ are the same as above;

$R^{10}$ is carboxyl, $C_{1-6}$ alkoxycarbonyl or mono- or di-($C_{1-6}$alkyl)carbamoyl; and their pharmaceutically acceptable salts.

20. The use according to Claim 16 wherein the RAR agonist is selected from compounds of Formula II:



II

wherein

$R^1$ is hydrogen or $C_1$-$C_6$ alkyl;

$R^2$ is $C_1$-$C_6$ alkyl or adamantyl;

$R^3$ is $C_1$-$C_6$ alkyl or hydroxy; or

$R^2$ and $R^3$ taken together are $-(CR^6R^7)_n-$ (where $R^6$ and $R^7$ are hydrogen or $C_1$-$C_6$ alkyl and n is 3, 4 or 5);

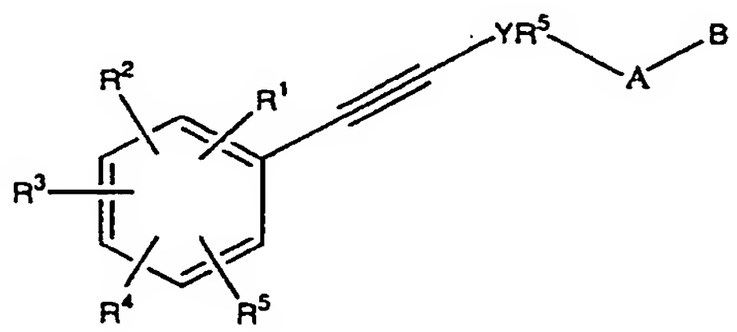
$R^4$ is $C_2$-$C_8$ alkanoyl, $C_2$-$C_8$ alkyl, $C_2$-$C_8$ alkenyl, $C_2$-$C_8$ alkynyl or $-OCH_2R^5$;

$R^5$ is $C_1$-$C_6$ alkyl, $C_2$-$C_6$ alkenyl or $C_2$-$C_6$ alkynyl;

Y is oxygen or sulfur;

and their pharmaceutically acceptable salts.

21. The use according to Claim 16, wherein the RAR agonist is selected from compounds of Formula III:



III

wherein

$R^1$-$R^3$ and $R^5$ are independently hydrogen, lower alkyl of 1 to 6 carbons, branched chain alkyl or cycloalkyl of 3 to 15 carbons, lower alkyl substituted cycloalkyl of 3 to 15 carbons;

$R^4$ is lower alkyl of 1 to 6 carbons, branched chain alkyl or cycloalkyl of 3 to 15 carbons, or lower alkyl substituted cycloalkyl of 3 to 15 carbons;

X is S or O;

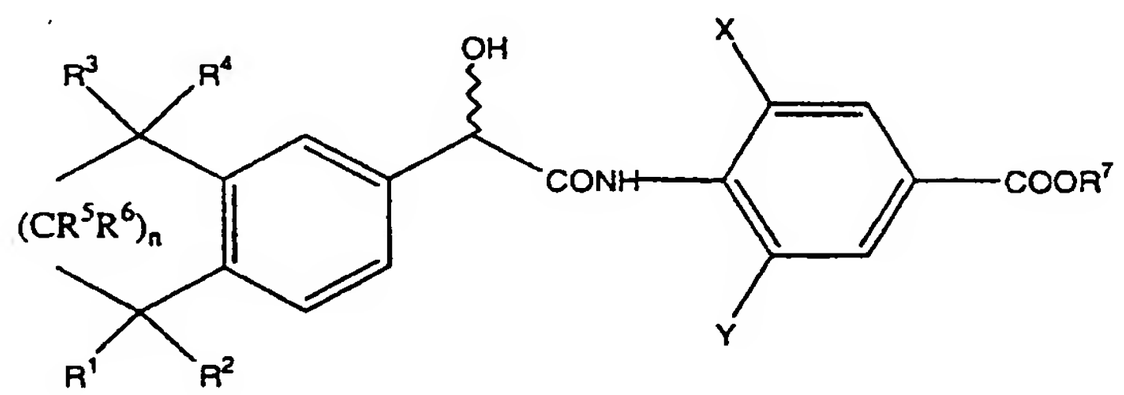
Y is a phenyl group, or heteroaryl selected from a group consisting of pyridyl, thienyl, furyl, pyridazinyl, pirimidinyl, pyrazinyl, thiazolyl,

imidazolyl, and oxazolyl, said groups being substituted with the R5 group defined above;

A is $(CH_2)_n$ where n is 0 to 5, lower branched chain alkyl having 3 to 6 carbons, cycloalkyhl having 3 to 6 carbons, alkenyl having 2 to 6 carbons and 1 or 2 double bonds, alkynyl having 2 to 6 carbons and 1 or 2 triple bonds;

B is hydrogen, COOH or a pharmacetically acceptable salt thereof, $COOR^8$, $CONR^9R^{10}$, $-CH_2OH$, $CH_2OR^{11}$, $CH_2OCOR^{11}$, CHO, $CH(OR^{12})_2$, $CHOR^{13}O$, $-COR^7$, $CR^7(OR^{12})_2$, or $CR^7OR^{13}O$, where $R^7$ is an alkyl, cycloalkyl, or alkenyl group containing 1 to 5 carbons, $R^8$ is an alkyl group of 1 to 10 carbons, or a cycloalkyl group of 5 to 10 carbons, or $R^8$ is phenyl or lower alkylphenyl, $R^9$ and $R^{10}$ independently are hydrogen, an alkyl grop of 1 to 10 carbons, or a cycloalkyl group of 5 to 10 carbons, or phenyl or lower alkylphenyl, $R^{11}$ is a lower alkyl, phenyl or lower alkylphenyl, $R^{12}$ is lower alkyl, and $R^{13}$ is divalent alkyl radical of 2 to 5 carbons.

22. The use according to Claim 16 wherein the RAR agonist is selected from compounds of Formula IV:




IV

wherein

X is F, Cl, OH, or $CH_3$;

Y is H or F;

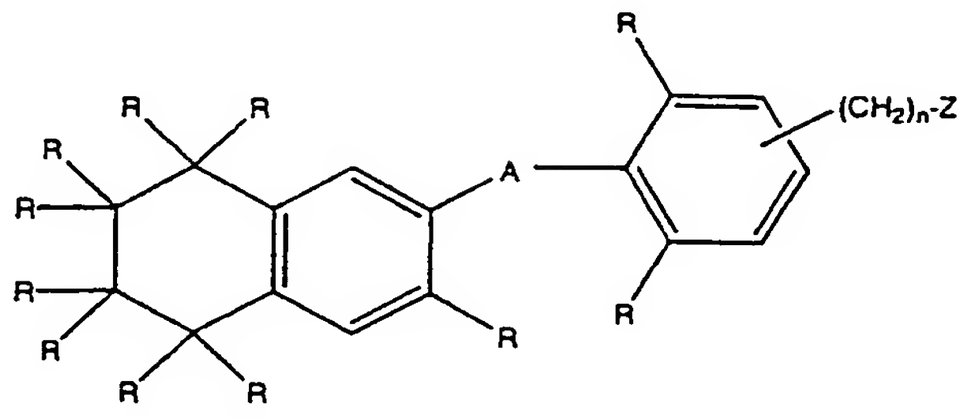
$R^1$ through $R^6$ are each independently hydrogen or $C_1$ to $C_6$ alkyl;

n is an integer of 1 to 4; and

$R^7$ is hydrogen or a carboxyl-protecting group;

and pharmaceutically acceptable salts thereof.

23. The use according to Claim 16, wherein the RAR agonist is selected from compounds of Formula V



V

wherein

the R groups are independently hydrogen or lower alkyl;

A is –C(O)O-, -OC(O)-, -C(O)S-, or SC(O)-;

n is 0 to 5; and

Z is H, -COB, –OE, -CHO or an acetal derivative thereof, or $-COR^3$

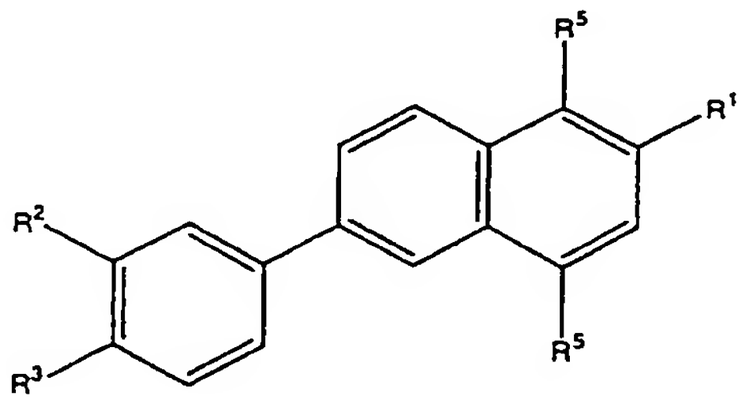
wherein

B is $-OR^1$ wherein $R^1$ is an ester-forming group, or B is $-N(R)_2$ wherein R is hyrogen or lower alkyl;

E is hydrogen, an ether-forming group, or $-COR^2$ where $R^2$ is hydrogen, lower alkyl, phenyl, or lower alkyl phenyl;

$R^3$ is $-(CH_2)_mCH_3$ wherein m is 0 to 4 and the sum of n and m does not exceed 4.

24. The use according to Claim 16, wherein the RAR agonist is selected from compounds of Formula VI



VI

wherein

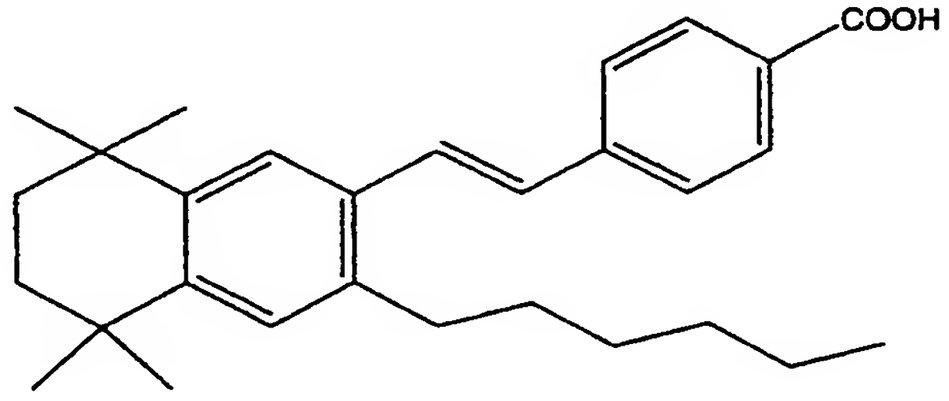
$R^1$ is $C(O)R^6$ or $CH_2OH$ (where $R^6$ is hydroxy or $C_1$-$C_6$ alkoxy);

$R^2$ is hydrogen $C_1$-$C_{15}$ alkyl, $C_1$-$C_6$ alkoxy or cycloaliphatic;

$R^3$ is hydrogen, hydroxy, $C_1$-$C_6$ alkyl, dihydroxy $C_1$-$C_6$alkyl, $C_1$-$C_{10}$ alkoxy or cycloaliphatic; and

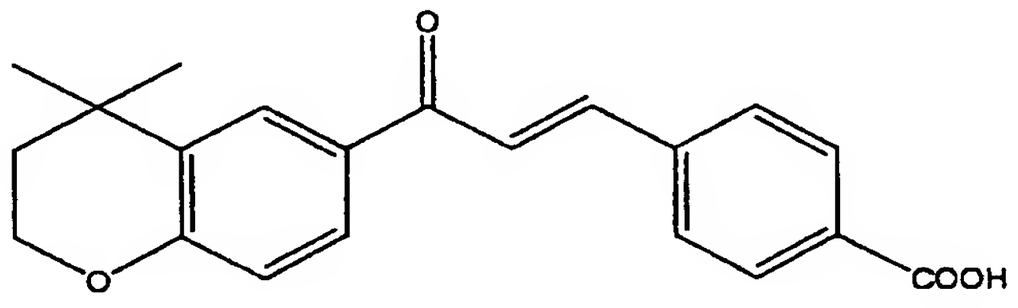
$R^4$ and $R^5$ are independently hydrogen, hydroxy, $C_1C_6$ alkyl, $C_1$-$C_6$ alkoxy.

25. The use according to Claim 16, wherein the RAR agonist is a compound of Formula VII:




VII.

26. The use according to Claim 15, wherein the RAR agonist is a compound of Formula VIII:




VIII.

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# INTERNATIONAL SEARCH REPORT

International Application No
PCT/EP 00/10076

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61K31/00 A61K31/192 A61K31/353 A61K31/44 A61P11/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 A61K

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)
EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| E | WO 00 61233 A (ALLERGAN SALES INC)<br>19 October 2000 (2000-10-19)<br>the whole document<br>--- | 1-26 |
| E | WO 00 61232 A (ALLERGAN SALES INC)<br>19 October 2000 (2000-10-19)<br>the whole document<br>--- | 1-26 |
| X | WO 99 45915 A (HOFFMANN LA ROCHE)<br>16 September 1999 (1999-09-16)<br>page 4, line 15 - line 16; claims 1-3<br>--- | 1-26 |
| X | WO 99 24024 A (HOFFMANN LA ROCHE)<br>20 May 1999 (1999-05-20)<br>page 4 -page 5<br>page 7, line 10 - line 12<br>page 8, line 1 - line 8<br>---<br>-/-- | 1-26 |

[X] Further documents are listed in the continuation of box C.

[X] Patent family members are listed in annex.

° Special categories of cited documents :

"A" document defining the general state of the art which is not considered to be of particular relevance

"E" earlier document but published on or after the international filing date

"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)

"O" document referring to an oral disclosure, use, exhibition or other means

"P" document published prior to the international filing date but later than the priority date claimed

"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

"&" document member of the same patent family

| Date of the actual completion of the international search | Date of mailing of the international search report |
|---|---|
| 30 January 2001 | 05. 03. 2001 |

| Name and mailing address of the ISA | Authorized officer |
|---|---|
| European Patent Office, P.B. 5818 Patentlaan 2<br>NL - 2280 HV Rijswijk<br>Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,<br>Fax: (+31-70) 340-3016 | Gerd Strandell |

2

Form PCT/ISA/210 (second sheet) (July 1992)

# INTERNATIONAL SEARCH REPORT

| Intern nal Application No |
|---|
| PCT/EP 00/10076 |

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|---|---|
| X | US 5 498 795 A (SONG TAE K ET AL) 12 March 1996 (1996-03-12) column 1, line 40 - line 44 column 2, line 46 - line 50 --- | 1-26 |
| A | US 5 760 084 A (TRAMPOSCH KENNETH M ET AL) 2 June 1998 (1998-06-02) the whole document --- | 1-26 |
| A | US 5 700 836 A (MOHR PETER ET AL) 23 December 1997 (1997-12-23) the whole document ----- | 1-26 |

# INTERNATIONAL SEARCH REPORT

Ir. ational application No.
PCT/EP 00/10076

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☐ Claims Nos.:
because they relate to subject matter not required to be searched by this Authority, namely:

2. ☒ Claims Nos.: 1,14 all in part
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210

3. ☐ Claims Nos.:
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.

2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.

3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:

4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

**Remark on Protest**

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 1,14 all in part

The wording "RAR agonist(s) that is (are) at least gamma selective and is (are) RARalpha sparing" in claims 1 and 14 is not clear and concise. Confer PCT Article 6. Furthermore, a large number of compounds are theoretically contained within the definition. Therefor, a complete search is not possible. The search is mainly restricted to the general idea of the invention and to the compounds mentioned in the examples.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

# INTERNATIONAL SEARCH REPORT

.ormation on patent family members

Interna al Application No
PCT/EP 00/10076

| Patent document cited in search report | Publication date | Patent family member(s) | Publication date |
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Search: (RU2158587)/PN/XPN

1 / 1

Patent Number: RU2158587 C1 20001110

# ANTIBACTERIAL AGENT FOR TREATMENT OF FARM ANIMALS AND POULTRY, METHOD OF PREVENTING AND TREATING CHICKEN SUFFERING FROM BACTERIAL-ORIGIN DISEASES, METHOD OF TREATING BACTERIAL-ORIGIN RESPIRATORY DISEASES IN CALVES, AND METHOD OF PREVENTING CATTLE TUBERCULOSIS

(RU2158587)

veterinary. SUBSTANCE: antibacterial agent represents emulsion of liposome, whose membranes contain lipids extracted from cattle organs and tissues into which amino glycoside antibiotics are included in amounts efficient for parenteral and oral administration. In case of chicken, liposomal streptomycin is admixed into feed, which is given to chicken on the first 7 days and than on 28-32nd days of chicken life in amounts ensuring 14.2-20.0 mg antibiotic per 1 kg of body weight. When treating calves, liposomal streptomycin is injected intramuscularly every third day in dose 6.20-6.25 mg/kg of antibiotic for 9-12 days. To prevent cattle tuberculosis, animals with positive tuberculin test receive intramuscularly 20.0-21.0 mg/kg of the same antibiotic every third day for the 3-months period, while simultaneously receiving orally tubaside with feed. EFFECT: enabled prolonged effect of preparation and reduced its toxic effect. 4 cl, 3 tbl, 6 ex

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UMNYJ INST

**FamPat family**

| Publication Number | Kind | Publication date | Links |
|---|---|---|---|
| RU2158587 | C1 | 20001110 | |

**STG:** Patent for invention
**AP :** 1999RU-0109878 19990511

**Priority Details:** 1999RU-0109878 19990511